Package ‘scran’

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Description Implements functions for low-level analyses of single-cell RNA-seq data. Methods are provided for normalization of cell-specific biases, assignment of cell cycle phase, detection of highly variable and significantly correlated genes, correction of batch effects, identification of marker genes, and other common tasks in single-cell analysis workflows.

License GPL-3

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**R topics documented:**

build*NNGraph ........................................... 2
cleanSizeFactors ........................................... 5
clusterModularity .......................................... 7
combineMarkers ........................................... 8
combinePValues ........................................... 11
combineVar ................................................. 12
convertTo .................................................. 14
correlatePairs ........................................... 15
cosineNorm ............................................... 20
cyclone ................................................... 21
decomposeVar ............................................ 23
Deconvolution Methods .................................. 26
Denoise with PCA ......................................... 30
Distance-to-median ....................................... 33
doubletCells ............................................... 34
doubletCluster ........................................... 36
fastMNN ................................................... 39
findMarkers ............................................... 42
Gene selection ............................................. 44
improvedCV2 ............................................... 44
makeTechTrend ............................................. 47
mnnCorrect ................................................. 49
multiBatchNorm ........................................... 52
multiBatchPCA ............................................. 53
multiBlockNorm ............................................ 55
multiBlockVar ............................................. 56
overlapExprs ............................................... 57
pairwiseTTests ............................................ 59
pairwiseWilcox ........................................... 62
Parallel analysis ......................................... 64
Quick clustering .......................................... 66
sandbag .................................................... 68
Scaled column ranks ...................................... 70
simpleSumFactors ......................................... 71
Spike-in normalization ................................... 74
technicalCV2 ............................................... 76
testVar ..................................................... 78
trendVar ................................................... 80

**Index**

85

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**build*NNGraph**  
*Build a nearest-neighbor graph*

**Description**

Build a shared or k-nearest-neighbors graph for cells based on their expression profiles.
Usage

## S4 method for signature 'ANY'
buildSNNGraph(x, k=10, d=50, type=c("rank","number"), transposed=FALSE, 
  pc.approx=FALSE, rand.seed=NA, irlba.args=list(), subset.row=NULL, 
  BNPARAM=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
buildSNNGraph(x, ..., subset.row=NULL, assay.type="logcounts", 
  get.spikes=FALSE, use.dimred=NULL)

## S4 method for signature 'ANY'
buildKNNGraph(x, k=10, d=50, directed=FALSE, transposed=FALSE, 
  pc.approx=FALSE, rand.seed=NA, irlba.args=list(), 
  subset.row=NULL, BNPARAM=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
buildKNNGraph(x, ..., subset.row=NULL, assay.type="logcounts", 
  get.spikes=FALSE, use.dimred=NULL)

Arguments

x A SingleCellExperiment object, or a matrix containing expression values for 
each gene (row) in each cell (column). If it is matrix, it can also be transposed.

k An integer scalar specifying the number of nearest neighbors to consider during 
graph construction.

d An integer scalar specifying the number of dimensions to use for the k-NN 
search.

type A string specifying the type of weighting scheme to use for shared neighbors.

directed A logical scalar indicating whether the output of buildKNNGraph should be a 
directed graph.

transposed A logical scalar indicating whether x is transposed (i.e., rows are cells).

pc.approx A logical scalar indicating whether approximate PCA should be performed.

subset.row See ?"scran-gene-selection".

irlba.args A named list of additional arguments to pass to prcomp_irlba when pc.approx=TRUE.

rand.seed Deprecated; a numeric scalar specifying the seed for approximate PCA when 
pc.approx=TRUE. This is set to NA to use the existing session seed.

BNPARAM A BiocNeighborParam object to specify the nearest neighbor algorithm. De-
defaults to an exact algorithm if NULL, see ?findKNN for more details.

BPPARAM A BiocParallelParam object to use for parallel processing.

... Additional arguments to pass to buildSNNGraph, ANY-method.

assay.type A string specifying which assay values to use.

get.spikes See ?"scran-gene-selection".

use.dimred A string specifying whether existing values in reducedDims(x) should be used.
Details

The `buildSNNGraph` method builds a shared nearest-neighbour graph using cells as nodes. For each cell, its $k$ nearest neighbours are identified based on Euclidean distances in their expression profiles. An edge is drawn between all pairs of cells that share at least one neighbour, weighted by the characteristics of the shared nearest neighbors:

- If `type="rank"`, the weighting scheme defined by Xu and Su (2015) is used. The weight between two nodes is equal to $k - r/2$, where $r$ is the smallest sum of ranks for any shared neighboring node. For example, if both nodes had the same closest neighbor, the weight would be $k - 1$. For the purposes of this ranking, each node has a rank of zero in its own nearest-neighbor set.
- If `type="number"`, the weight between two nodes is simply the number of shared nearest neighbors between them. The weight can range from zero to $k + 1$, as the node itself is included in its own nearest-neighbor set. This is a simpler scheme that is also slightly faster but does not account for the ranking of neighbors within each set.

More shared neighbors, or shared neighbors that are close to both cells, will generally yield larger weights.

The aim is to use the SNN graph to perform clustering of cells via community detection algorithms in the igraph package. This is faster and more memory efficient than hierarchical clustering for large numbers of cells. In particular, it avoids the need to construct a distance matrix for all pairs of cells. Only the identities of nearest neighbours are required, which can be obtained quickly with methods in the BiocNeighbors package.

The choice of $k$ can be roughly interpreted as the minimum cluster size. Smaller values of $k$ will generally yield smaller, more resolved clusters upon running community detection algorithms. By comparison, increasing $k$ will increase the connectivity of the graph and make it more difficult to resolve different communities.

Note that the setting of $k$ here is slightly different from that used in SNN-Cliq. The original implementation considers each cell to be its first nearest neighbor that contributes to $k$. In `buildSNNGraph`, the $k$ nearest neighbours refers to the number of other cells.

The `buildKNNGraph` method builds a simpler k-nearest neighbour graph. Cells are again nodes, and edges are drawn between each cell and its k-nearest neighbours. No weighting of the edges is performed. In theory, these graphs are directed as nearest neighour relationships may not be reciprocal. However, by default, `directed=FALSE` such that an undirected graph is returned.

Value

An igraph-type graph, where nodes are cells and edges represent connections between nearest neighbors. For `buildSNNGraph`, these edges are weighted by the number of shared nearest neighbors. For `buildKNNGraph`, edges are not weighted but may be directed if `directed=TRUE`.

Choice of input data

In practice, PCA is performed on $x$ to obtain the first $d$ principal components. This is necessary in order to perform the k-NN search (done using the `findKNN` function) in reasonable time. By default, the first 50 components are chosen, which should retain most of the substructure in the data set. If $d$ is NA or greater than or equal to the number of cells, no dimensionality reduction is performed.

If `pc.approx=TRUE`, `prcomp_irlba` will be used to quickly obtain the first $d$ PCs. While this algorithm does converge towards the exact PCs, it performs a random initialization and thus is technically dependent on the session seed. For full reproducibility, users are advised to call `set.seed` beforehand when using this option.
Expression values in `x` should typically be on the log-scale, e.g., log-transformed counts. Ranks can also be used for greater robustness, e.g., from `quickCluster` with `get.ranks=TRUE`. (Dimensionality reduction is still okay when ranks are provided - running PCA on ranks is equivalent to running MDS on the distance matrix derived from Spearman’s rho.)

If the input matrix `x` is already transposed for the ANY method, `transposed=TRUE` avoids an unnecessary internal transposition. A typical use case is when `x` contains some reduced dimension coordinates with cells in the rows. In such cases, setting `transposed=TRUE` and `d=NA` will use the input coordinates directly for graph-building.

If `use.dimred` is not NULL, existing PCs are used from the specified entry of `reducedDims(x)`, and any setting of `d`, `subset.row` and `get.spikes` are ignored.

**Author(s)**

Aaron Lun

**References**


**See Also**

See `make_graph` for details on the graph output object.

See `cluster_walktrap, cluster_louvain` and related functions in `igraph` for clustering based on the produced graph.

Also see `findKNN` for specifics of the nearest-neighbor search.

**Examples**

```r
exprs <- matrix(rnorm(100000), ncol=100)
g <- buildSNNGraph(exprs)
clusters <- igraph::cluster_fast_greedy(g)$membership
table(clusters)
```

---

**cleanSizeFactors**

*Sanitize size factors*

**Description**

Coerce non-positive size factors to positive values based on the number of detected features.

**Usage**

```r
cleanSizeFactors(size.factors, num.detected, control=nls.control(warnOnly=TRUE), iterations=3, nmads=3, ...)
```
Arguments

- **size.factors**: A numeric vector containing size factors for all libraries.
- **num.detected**: A numeric vector of the same length as **size.factors**, containing the number of features detected in each library.
- **control**: Argument passed to **nls** to control the fitting, see ?nls.control for details.
- **iterations**: Integer scalar specifying the number of robustness iterations.
- **nmads**: Numeric scalar specifying the multiple of MADs to use for the tricube bandwidth in robustness iterations.
- **...**: Further arguments to pass to **nls**.

Details

This function will first fit a non-linear curve of the form

\[ y = \frac{ax}{1 + bx} \]

where \( y \) is **num.detected** and \( x \) is **size.factors** for all positive size factors. This is a purely empirical expression, chosen because it is passes through the origin, is linear near zero and asymptotes at large \( x \). The fitting is done robustly with iterations of tricube weighting to eliminate outliers.

We then consider the number of detected features for all samples with non-positive size factors. This is treated as \( y \) and used to solve for \( x \) based on the curve fitted above. The result is the “cleaned” size factor, which must always be positive for \( y < a/b \). For \( y > a/b \), there is no solution so the cleaned size factor is defined as the largest positive value in **size.factors**.

Negative size factors can occasionally be generated by **computeSumFactors**, see the documentation there for more details. By coercing them to positive values, we can proceed to normalization and downstream analyses. Here, we use the number of detected features as this is more robust to differential expression that would cause biases in the library size. Of course, it is not theoretically guaranteed to yield the correct size factor, but a rough guess is better than a negative value.

Value

A numeric vector identical to **size.factors** but with all non-positive size factors replaced with fitted values from the curve.

Author(s)

Aaron Lun

See Also

- **nls**, **computeSumFactors**

Examples

```r
set.seed(100)
counts <- matrix(rpois(20000, lambda=1), ncol=100)
# Adding negative values:
cleanSizeFactors(c(-1, colSums(counts)), c(100, colSums(counts>0)))
```
**clusterModularity**

**Description**

Calculate the modularity of each cluster from a graph, based on a null model of random connections between nodes.

**Usage**

```r
clusterModularity(graph, clusters, get.values=FALSE)
```

**Arguments**

- `graph`: A graph object from `igraph`, like that produced by `buildSNNGraph`.
- `clusters`: A factor specifying the cluster identity for each node.
- `get.values`: A logical scalar indicating whether the observed and expected edge weights should be returned.

**Details**

This function computes a modularity score in the same manner as that from `modularity`. The modularity is defined as the difference between the observed and expected number of edges between nodes in the same cluster. The expected number of edges is defined by a null model where edges are randomly distributed among nodes. The same logic applies for weighted graphs, replacing the number of edges with the summed weight of edges.

Whereas `modularity` returns a modularity score for the entire graph, `clusterModularity` provides scores for the individual clusters. This allows users to determine which clusters are enriched for intra-cluster edges based on their high modularity scores. For comparison, `clusterModularity` also reports the modularity scores between pairs of clusters. The sum of the diagonal elements of the output matrix should be equal to the output of `modularity` (after supplying weights to the latter, if necessary).

**Value**

If `get.values=FALSE`, a symmetric numeric matrix of order equal to the number of clusters is returned. Each entry corresponds to a pair of clusters and is proportional to the difference between the observed and expected edge weights between those clusters.

If `get.values=TRUE`, a list is returned containing two symmetric numeric matrices. The observed matrix contains the observed sum of edge weights between and within clusters, while the expected matrix contains the expected sum of edge weights under the random model.

**Author(s)**

Aaron Lun

**See Also**

`buildSNNGraph`, `modularity`
Examples

```r
example(buildSNNGraph) # using the mocked-up graph in this example.

# Examining the modularity values directly.
out <- clusterModularity(g, clusters)
image(out)

# Alternatively, compare the ratio of observed:expected.
out <- clusterModularity(g, clusters, get.values=TRUE)
log.ratio <- log2(out$observed/out$expected + 1)
image(log.ratio)
```

**combineMarkers**

**Combine DE results to a marker set**

**Description**

Combine pairwise comparisons between groups or clusters into a single marker set for each cluster.

**Usage**

```r
combineMarkers(de.lists, pairs, pval.field="p.value", effect.field="logFC",
pval.type=c("any", "all"), log.p.in=FALSE, log.p.out=log.p.in,
output.field=NULL, full.stats=FALSE)
```

**Arguments**

- `de.lists`: A list-like object where each element is a data.frame or DataFrame. Each element should represent the results of a pairwise comparison between two groups/clusters, in which each row should contain the statistics for a single gene/feature. Rows should be named by the feature name in the same order for all elements.
- `pairs`: A matrix, data.frame or DataFrame with two columns and number of rows equal to the length of `de.lists`. Each row should specify the pair of clusters being compared for the corresponding element of `de.lists`.
- `pval.field`: A string specifying the column name of each element of `de.lists` that contains the p-value.
- `effect.field`: A string specifying the column name of each element of `de.lists` that contains the effect size.
- `pval.type`: A string specifying the type of combined p-value to be computed, i.e., Simes’ (“any”) or IUT (“all”).
- `log.p.in`: A logical scalar indicating if the p-values in `de.lists` were log-transformed.
- `log.p.out`: A logical scalar indicating if log-transformed p-values/FDRs should be returned.
- `output.field`: A string specifying the prefix of the field names containing the effect sizes.
- `full.stats`: A logical scalar indicating whether all statistics in `de.lists` should be stored in the output for each pairwise comparison.
An obvious strategy to characterizing differences between clusters is to look for genes that are differentially expressed (DE) between them. However, this entails a number of comparisons between all pairs of clusters to comprehensively identify genes that define each cluster. For all pairwise comparisons involving a single cluster, we would like to consolidate the DE results into a single list of candidate marker genes. This is the intention of the `combineMarkers` function. DE statistics from any testing regime can be supplied to this function - see the Examples for how this is done with t-tests from `pairwiseTTests`.

**Value**

A named List of DataFrames where each DataFrame contains the consolidated results for the cluster of the same name.

Within each DataFrame (say, the DataFrame for cluster X), rows correspond to genes with the fields:

- **Top**: Integer, the minimum rank across all pairwise comparisons. Only reported if `rank.type="any"`.
- **p.value**: Numeric, the p-value across all comparisons if `log.p.out=FALSE`. This is a Simes’ p-value if `rank.type="any"`, otherwise it is an IUT p-value.
- **log.p.value**: Numeric, the log-transformed version of `p.value` if `log.p.out=TRUE`.
- **FDR**: Numeric, the BH-adjusted p-value for each gene if `log.p.out=FALSE`.
- **log.FDR**: Numeric, the log-transformed adjusted p-value for each gene if `log.p.out=TRUE`.
- **logFC.Y**: Numeric for every other cluster Y in `clusters`, containing the effect size of the comparison of X to Y when `full.stats=FALSE`. Note that this is named according to the output.field prefix and so may not necessarily contain the log-fold change.
- **stats.Y**: DataFrame for every other cluster Y in `clusters`, returned when `full.stats=TRUE`. This contains the same fields in the corresponding entry of `de.lists` for the X versus Y comparison.

Genes are ranked by the `Top` column (if available) and then the `p.value` column.

DataFrames are sorted according to the order of cluster IDs in `pairs[,1]`. The `logFC.Y` columns are sorted according to the order of cluster IDs in `pairs[,2]` within the corresponding level of the first cluster.

Note that DataFrames are only created for clusters present in `pairs[,1]`. Clusters unique to `pairs[,2]` will only be present within each DataFrame as Y.

**Consolidating p-values into a ranking**

By default, each table is sorted by the `Top` value when `pval.type="any"`. This is the minimum rank across all pairwise comparisons for each gene, and specifies the size of the candidate marker set. Taking all rows with `Top` values less than or equal to X will yield a marker set containing the top X genes (ranked by significance) from each pairwise comparison. The marker set for each cluster allows it to be distinguished from every other cluster based on the expression of at least one gene.

To demonstrate, let us define a marker set with an X of 1 for a given cluster. The set of genes with `Top <= 1` will contain the top gene from each pairwise comparison to every other cluster. If X is instead, say, 5, the set will consist of the union of the top 5 genes from each pairwise comparison. Obviously, multiple genes can have the same `Top` as different genes may have the same rank across different pairwise comparisons. Conversely, the marker set may be smaller than the product of `Top` and the number of other clusters, as the same gene may be shared across different comparisons.

This approach does not explicitly favour genes that are uniquely expressed in a cluster. Such a strategy is often too stringent, especially in cases involving overclustering or cell types defined by
combinatorial gene expression. However, if `pval.type="all"`, the null hypothesis is that the gene is not DE in all contrasts, and the IUT p-value is computed for each gene. This yields a `IUT.p` field instead of a `Top` field in the output table. Ranking based on the IUT p-value will focus on genes that are uniquely DE in that cluster.

**Correcting for multiple testing**

When `pval.type="any"`, a combined p-value is calculated by consolidating p-values across contrasts for each gene using Simes’ method. This represents the evidence against the null hypothesis that the gene is not DE in any of the contrasts. The BH method is then applied on the combined p-values across all genes to obtain the `FDR` field. The same procedure is done with `pval.type="all"`, but using the IUT p-values across genes instead.

If `log.p=TRUE`, log-transformed p-values and FDRs will be reported. This may be useful in overpowered studies with many cells, where directly reporting the raw p-values would result in many zeroes due to the limits of machine precision.

Note that the reported FDRs are intended only as a rough measure of significance. Properly correcting for multiple testing is not generally possible when `clusters` is determined from the same `x` used for DE testing.

**Author(s)**

Aaron Lun

**References**


**See Also**

`pairwiseTTests` and `pairwiseWilcox` for functions that can generate `de.lists` and `pairs`.

`findMarkers` and `overlapExprs` provide wrappers that use `combineMarkers` on the t-test or Wilcoxon test results.

**Examples**

```r
# Using the mocked-up data 'y2' from this example.
example(computeSpikeFactors)
y2 <- normalize(y2)
kout <- kmeans(t(logcounts(y2)), centers=2) # Any clustering method is okay.
out <- pairwiseTTests(logcounts(y2), clusters=paste0("Cluster", kout$cluster))
comb <- combineMarkers(out$statistics, out$pairs)
comb[["Cluster1"]]
```
**Description**

Combine p-values from independent or dependent hypothesis tests using a variety of meta-analysis methods.

**Usage**

```r
combinePValues(..., method=c("fisher", "z", "simes", "berger"),
weights=NULL, log.p=FALSE)
```

**Arguments**

- `...`: Two or more numeric vectors of p-values of the same length.
- `method`: A string specifying the combining strategy to use.
- `weights`: A numeric vector of positive weights, with one value per vector in `...`. Alternatively, a list of numeric vectors of weights, with one vector per element in `...`. This is only used when `method="z"`.
- `log.p`: Logical scalar indicating whether the p-values in `...` are log-transformed.

**Details**

This function will operate across elements on `...` in parallel to combine p-values. That is, the set of first p-values from all vectors will be combined, followed by the second p-values and so on. This is useful for combining p-values for each gene across different hypothesis tests.

Fisher’s method, Stouffer’s Z method and Simes’ method test the joint null hypothesis, i.e., that all of the individual null hypotheses in the set are true. The joint null is rejected if any of the individual nulls are rejected. However, each test has different characteristics:

- Fisher’s method requires independence of the test statistic. It is useful in asymmetric scenarios, i.e., when the null is only rejected in one of the tests in the set. Thus, a low p-value in any test is sufficient to obtain a low combined p-value.
- Stouffer’s Z method requires independence of the test statistic. It favours symmetric rejection and is less sensitive to a single low p-value, requiring more consistently low p-values to yield a low combined p-value. It can also accommodate weighting of the different p-values.
- Simes’ method technically requires independence but tends to be quite robust to dependencies between tests. See Sarkar and Chung (1997) for details, as well as work on the related Benjamini-Hochberg method. It favours asymmetric rejection and is less powerful than the other two methods under independence.

Berger’s intersection-union test examines a different global null hypothesis, i.e., that at least one of the individual null hypotheses are true. Rejection in the IUT indicates that all of the individual nulls have been rejected. This is the statistically rigorous equivalent of a naive intersection operation.

**Value**

A numeric vector containing the combined p-values.
Author(s)

Aaron Lun

References


Examples

```r
p1 <- runif(10000)
p2 <- runif(10000)
p3 <- runif(10000)

fish <- combinePValues(p1, p2, p3)
hist(fish)

z <- combinePValues(p1, p2, p3, method="z", weights=1:3)
hist(z)

simes <- combinePValues(p1, p2, p3, method="simes")
hist(simes)

berger <- combinePValues(p1, p2, p3, method="berger")
hist(berger)
```

---

**combineVar**

*Combine variance decompositions*

**Description**

Combine the results of multiple variance decompositions, usually generated for the same genes across separate batches of cells.

**Usage**

```r
combineVar(..., method="fisher", weighted=TRUE)
```

**Arguments**

- `...` Two or more DataFrames produced by `decomposeVar`.
- `method` String specifying how p-values are to be combined, see `combinePValues` for options.
- `weighted` Logical scalar indicating whether weights should be used for combining statistics.
Details

This function is designed to merge results from multiple calls to `decomposeVar`, usually computed for different batches of cells. Separate variance decompositions are necessary in cases where different concentrations of spike-in have been added to the cells in each batch. This affects the technical mean-variance relationship and precludes the use of a common trend fit.

The output mean is computed as a weighted average of the means in each input DataFrame, where the weight is defined as the number of cells in that batch. This yields an equivalent value to the sample mean across all cells in all batches. Similarly, weighted averages are computed for all variance components, where the weight is defined as the residual d.f. used for variance estimation in each batch. This yields a variance equivalent to the residual variance obtained while blocking on the batch of origin.

Weighting can be turned off with `weighted=FALSE`. This may be useful to ensure that all batches contribute equally to the calculation of the combined statistics, avoiding cases where batches with many cells dominate the output. Of course, this comes at the cost of precision - large batches contain more information and should contribute more to the weighted average.

For the p-value calculations, options are taken from `combinePValues` and are paraphrased here:

- The default approach is to use `method="fisher"`, which will identify genes that are highly variable in any batch.
- Another option is to use `method="z"`, which favours (but does not require) genes that are significant in multiple batches.
- Setting `method="simes"` is a more conservative counterpart to Fisher’s method that is robust to correlations.
- Setting `method="berger"` will identify genes that are significant in all batches.

Only `method="z"` will perform any weighting of batches, and only if `weighted=TRUE`. Here, each batch is weighted according to the residual d.f. used for testing in that batch. In all other cases, all batches are assigned equal weight for p-value calculations.

Value

A DataFrame with the same numeric fields as that produced by `decomposeVar`. Each field contains the average across all batches except for `p.value`, which contains the combined p-value based on `method`; and `FDR`, which contains the adjusted p-value using the BH method.

Author(s)

Aaron Lun

See Also

decomposeVar, combinePValues

Examples

evaluate(computeSpikeFactors) # Using the mocked-up data 'y' from this example.
y <- computeSumFactors(y) # Size factors for the the endogenous genes.
y <- computeSpikeFactors(y, general.use=FALSE) # Size factors for spike-ins.
y1 <- y[1:100]
y1 <- normalize(y1) # Normalize separately after subsetting.
fit1 <- trendVar(y1)
results1 <- decomposeVar(y1, fit1)

y2 <- y[,1:100 + 100]
y2 <- normalize(y2)  # normalize separately after subsetting.
fit2 <- trendVar(y2)
results2 <- decomposeVar(y2, fit2)

head(combineVar(results1, results2))
head(combineVar(results1, results2, method="simes"))
head(combineVar(results1, results2, method="berger"))

---

**convertTo**

*Convert to other classes*

**Description**

Convert a SingleCellExperiment object into other classes for entry into other analysis pipelines.

**Usage**

```r
## S4 method for signature 'SingleCellExperiment'
convertTo(x, type=c("edgeR", "DESeq2", "monocle"),
         row.fields=NULL, col.fields=NULL, ..., assay.type,
         use.all.sf=TRUE, subset.row=NULL, get.spikes=FALSE)
```

**Arguments**

- `x`: A SingleCellExperiment object.
- `type`: A string specifying the analysis for which the object should be prepared.
- `row.fields`: Any set of indices specifying which columns of `rowData(x)` should be retained in the returned object.
- `col.fields`: Any set of indices specifying which columns of `colData(x)` should be retained.
- `...`: Other arguments to be passed to pipeline-specific constructors.
- `assay.type`: A string specifying which assay of `x` should be put in the returned object.
- `use.all.sf`: A logical scalar indicating whether multiple size factors should be used to generate the returned object.
- `subset.row, get.spikes`: See ?"scran-gene-selection".

**Details**

This function converts an SingleCellExperiment object into various other classes in preparation for entry into other analysis pipelines, as specified by `type`. Gene- and cell-specific data fields can be retained in the output object by setting `row.fields` and `col.fields`, respectively. Other arguments can be passed to the relevant constructors through the ellipsis.

By default, `assay.type` is set to "counts" such that count data is stored in the output object. This is consistent with the required inputs to analyses using count-based (e.g., negative binomial) models. Information about normalization is instead transmitted via size or normalization factors in the output object.
In all cases, rows corresponding to spike-in transcripts are removed from the output object by default. As such, rows in the returned object may not correspond directly to rows in x. Users should consider this when retrieving analysis results from these pipelines, e.g., match on row names in x before comparing to other results. This behaviour can be turned off by setting get.spikes=TRUE.

For edgeR and DESeq2, different size factors for different rows (e.g., for spike-in sets) will be respected. For edgeR, an offset matrix will be constructed containing mean-centred log-size factors for each row. For DESeq2, a similar matrix will be constructed containing size factors scaled to have a geometric mean of unity. This behaviour can be turned off with use.all.sf=FALSE, such that only sizeFactors(x) is used for normalization for all type. (These matrices are not generated if all rows correspond to sizeFactors(x), as this information is already stored in the object.)

**Value**

For type="edgeR", a DGEList object is returned containing the count matrix. Size factors are converted to normalization factors. Gene-specific rowData is stored in the genes element, and cell-specific colData is stored in the samples element.

For type="DESeq2", a DESeqDataSet object is returned containing the count matrix and size factors. Additional gene- and cell-specific data is stored in the mcols and colData respectively.

For type="monocle", a CellDataSet object is returned containing the count matrix and size factors. Additional gene- and cell-specific data is stored in the rowData and colData respectively.

**Author(s)**

Aaron Lun

**See Also**

DGEList, DESeqDataSetFromMatrix, newCellDataSet

**Examples**

```r
eexample(computeSpikeFactors) # Using the mocked up data 'y' from this example.
sizeFactors(y) <- 2^rnorm(ncells) # Adding some additional embellishments.
rowData(y)$SYMBOL <- paste0("X", seq_len(nrow(y)))
y$other <- sample(LETTERS, ncells, replace=TRUE)

# Converting to various objects.
convertTo(y, type="edgeR")
convertTo(y, type="DESeq2")
convertTo(y, type="monocle")
```

**correlatePairs**  
*Test for significant correlations*

**Description**

Identify pairs of genes that are significantly correlated based on a modified Spearman’s rho.
correlatePairs

Usage

correlateNull(ncells, iters=1e6, block=NULL, design=NULL)

## S4 method for signature 'ANY'
correlatePairs(x, null.dist=NULL, tol=1e-8, iters=1e6, block=NULL, design=NULL, lower.bound=NULL, use.names=TRUE, subset.row=NULL, pairings=NULL, per.gene=FALSE, cache.size=100L, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
correlatePairs(x, ..., use.names=TRUE, subset.row=NULL, per.gene=FALSE, lower.bound=NULL, assay.type="logcounts", get.spikes=FALSE)

Arguments

ncells An integer scalar indicating the number of cells in the data set.

iters An integer scalar specifying the number of values in the null distribution.

block A factor specifying the blocking level for each cell.

design A numeric design matrix containing uninteresting factors to be ignored.

x A numeric matrix-like object of log-normalized expression values, where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.

null.dist A numeric vector of rho values under the null hypothesis.

BPPARAM A BiocParallelParam object to use in bplapply for parallel processing.

tol A numeric scalar indicating the maximum difference under which two expression values are tied.

use.names A logical scalar specifying whether the row names of x should be used in the output. Alternatively, a character vector containing the names to use.

subset.row See ?"scran-gene-selection".

pairings A NULL value indicating that all pairwise correlations should be computed; or a list of 2 vectors of genes between which correlations are to be computed; or a integer/character matrix with 2 columns of specific gene pairs - see below for details.

per.gene A logical scalar specifying whether statistics should be summarized per gene.

lower.bound A numeric scalar specifying the theoretical lower bound of values in x, only used when residuals=TRUE.

cache.size An integer scalar specifying the number of cells for which ranked expression values are stored in memory. Smaller values can be used in machines with less memory, at the cost of processing speed.

... Additional arguments to pass to correlatePairs,ANY-method.

assay.type A string specifying which assay values to use.

get.spikes See ?"scran-gene-selection".

Details

The aim of the correlatePairs function is to identify significant correlations between all pairs of genes in x. This allows prioritization of genes that are driving systematic substructure in the
correlatePairs

data set. By definition, such genes should be correlated as they are behaving in the same manner across cells. In contrast, genes driven by random noise should not exhibit any correlations with other genes.

An approximation of Spearman’s rho is used to quantify correlations robustly based on ranks. To identify correlated gene pairs, the significance of non-zero correlations is assessed using a permutation test. The null hypothesis is that the (ranking of) normalized expression across cells should be independent between genes. This allows us to construct a null distribution by randomizing (ranked) expression within each gene.

The correlateNull function constructs an empirical null distribution for rho computed with \( n_{cells} \) cells. When \( \text{design}=\text{NULL} \), this is done by shuffling the ranks, calculating the rho and repeating until \( \text{iters} \) values are obtained. The p-value for each gene pair is defined as the tail probability of this distribution at the observed correlation (with some adjustment to avoid zero p-values). Correction for multiple testing is done using the BH method.

For correlatePairs, a pre-computed empirical distribution can be supplied as \( \text{null.dist} \) if available. Otherwise, it will be automatically constructed via correlateNull with \( n_{cells} \) set to the number of columns in \( x \). For correlatePairs,\( \text{SingleCellExperiment-method} \), correlations should be computed for normalized expression values in the specified \( \text{assay.type} \).

The lower bound of the p-values is determined by the value of \( \text{iters} \). If the limited field is TRUE in the returned dataframe, it may be possible to obtain lower p-values by increasing \( \text{iters} \). This should be examined for non-significant pairs, in case some correlations are overlooked due to computational limitations. The function will automatically raise a warning if any genes are limited in their significance at a FDR of 5%.

If \( \text{per.gene}=\text{TRUE} \), results are summarized on a per-gene basis. For each gene, all of its pairs are identified, and the corresponding p-values are combined using Simes’ method. This tests whether the gene is involved in significant correlations to any other gene. Setting \( \text{per.gene}=\text{TRUE} \) is useful for identifying correlated genes without regard to what they are correlated with (e.g., during feature selection).

Value

For correlateNull, a numeric vector of length \( \text{iters} \) is returned containing the sorted correlations under the null hypothesis of no correlations. Arguments to \( \text{design} \) and \( \text{residuals} \) are stored in the attributes.

For correlatePairs with \( \text{per.gene}=\text{FALSE} \), a DataFrame is returned with one row per gene pair and the following fields:

- \( \text{gene1, gene2} \): Character or integer fields specifying the genes in the pair. If \( \text{use.names}=\text{FALSE} \), integers are returned representing row indices of \( x \), otherwise gene names are returned.
- \( \text{rho} \): A numeric field containing the approximate Spearman’s rho.
- \( \text{p.value, FDR} \): Numeric fields containing the permutation p-value and its BH-corrected equivalent.
- \( \text{limited} \): A logical scalar indicating whether the p-value is at its lower bound, defined by \( \text{iters} \).

Rows are sorted by increasing \( \text{p.value} \) and, if tied, decreasing absolute size of rho. The exception is if \( \text{subset.row} \) is a matrix, in which case each row in the dataframe correspond to a row of \( \text{subset.row} \).

For correlatePairs with \( \text{per.gene}=\text{TRUE} \), a dataframe is returned with one row per gene. For each row, the \( \text{rho} \) field contains the correlation with the largest magnitude across all gene pairs involving the corresponding gene. The \( \text{p.value} \) field contains the Simes p-value, and the \( \text{FDR} \) field contains the corresponding adjusted p-value.
Accounting for uninteresting variation

If the experiment has known (and uninteresting) factors of variation, these can be included in design or block. correlatePairs will then attempt to ensure that these factors do not drive strong correlations between genes. Examples might be to block on batch effects or cell cycle phase, which may have substantial but uninteresting effects on expression.

The approach used to remove these factors depends on whether design or block is used. If there is only one factor, e.g., for plate or animal of origin, block should be used. Each level of the factor is defined as a separate group of cells. For each pair of genes, correlations are computed within each group, and a weighted mean based on the group size of the correlations is taken across all groups. The same strategy is used to generate the null distribution where ranks are computed and shuffled within each group.

For experiments containing multiple factors or covariates, a design matrix should be passed into design. The correlatePairs function will fit a linear model to the (log-normalized) expression values. The correlation between a pair of genes is then computed from the residuals of the fitted model. Similarly, to obtain a null distribution of rho values, normally-distributed random errors are simulated in a fitted model based on design; the corresponding residuals are generated from these errors; and the correlation between sets of residuals is computed at each iteration.

We recommend using block wherever possible (and it will take priority if both block and design are specified). While design can also be used for one-way layouts, this is not ideal as it involves more work/assumptions:

- It assumes normality, during both linear modelling and generation of the null distribution. This assumption is largely unavoidable for complex designs, where some quantitative constraints are required to remove nuisance effects. x should generally be log-transformed here, whereas this is not required for (but does not hurt) the first group-based approach.
- Residuals computed from expression values equal to lower.bound are set to a constant value below all other residuals. This preserves ties between zeroes and avoids spurious correlations between genes due to arbitrary tie-breaking. The value of lower.bound should be equal to log-prior count used during the log-transformation. It is automatically taken from metadata(x)$log.exprs.offset if x is a SingleCellExperiment object.

Gene selection

The pairings argument specifies the pairs of genes to compute correlations for:

- By default, correlations will be computed between all pairs of genes with pairings=NULL. Genes that occur earlier in x are labelled as gene1 in the output DataFrame. Redundant permutations are not reported.
- If pairings is a list of two vectors, correlations will be computed between one gene in the first vector and another gene in the second vector. This improves efficiency if the only correlations of interest are those between two pre-defined sets of genes. Genes in the first vector are always reported as gene1.
- If pairings is an integer/character matrix of two columns, each row is assumed to specify a gene pair. Correlations will then be computed for only those gene pairs, and the returned dataframe will not be sorted by p-value. Genes in the first column of the matrix are always reported as gene1.

If subset.row is not NULL, only the genes in the selected subset are used to compute correlations - see "scran-gene-selection". This will interact properly with pairings, such that genes in pairings and not in subset.row will be ignored. Rows corresponding to spike-in transcripts are also removed by default with get.spikes=FALSE. This avoids picking up strong technical correlations between pairs of spike-in transcripts.
We recommend setting `subset.row` to contain only the subset of genes of interest. This reduces computational time by only testing correlations of interest. For example, we could select only HVGs to focus on genes contributing to cell-to-cell heterogeneity (and thus more likely to be involved in driving substructure). There is no need to account for HVG pre-selection in multiple testing, because rank correlations are unaffected by the variance.

Lowly-expressed genes can also cause problems when `design` is non-NULL and `residuals=TRUE`. Tied counts, and zeroes in particular, may not result in tied residuals after fitting of the linear model. Model fitting may break ties in a consistent manner across genes, yielding large correlations between genes with many zero counts. Focusing on HVGs should mitigate the detection of these uninteresting correlations, as genes dominated by zeroes will usually have low variance.

**Approximating Spearman’s rho with tied values**

As previously mentioned, an approximate version of Spearman’s rho is used. Specifically, untied ranks are randomly assigned to any tied values. This means that a common empirical distribution can be used for all gene pairs, rather than having to do new permutations for every pair to account for the different pattern of ties. Generally, this modification has little effect on the results for expressed genes (and in any case, differences in library size break ties for normalized expression values). Some correlations may end up being spuriously large, but this should be handled by the error control machinery after multiplicity correction.

Author(s)

Aaron Lun

References


See Also

`bpparam, cor`

Examples

```r
set.seed(0)
n_cells <- 100
null_dist <- correlateNull(ncells, iters=100000)
exprs <- matrix(rpois(ncells*100, lambda=10), ncol=ncells)
out <- correlatePairs(exprs, null.dist=null.dist)
hist(out$p.value)
```
cosineNorm  

Cosine normalize

Description

Perform cosine-normalization on the column vectors of an expression matrix.

Usage

```r
cosineNorm(X, mode=c("matrix", "all", "l2norm"))
```

Arguments

- `X`: A gene expression matrix with cells as columns and genes as rows.
- `mode`: A string specifying the output to be returned.

Details

While the default is to directly return the cosine-normalized matrix, it may occasionally be desirable to obtain the L2 norm, e.g., to apply an equivalent normalization to other matrices. This can be achieved by setting `mode` accordingly.

Value

- If `mode="matrix"`, a double-precision matrix of the same dimensions as `X` is returned, containing cosine-normalized values.
- If `mode="l2norm"`, a double-precision vector is returned containing the L2 norm for each cell.
- If `mode="all"`, a named list is returned containing the fields "matrix" and "l2norm", which are as described above.

Author(s)

Aaron Lun

See Also

`mnnCorrect`, `fastMNN`

Examples

```r
A <- matrix(rnorm(1000), nrow=10)
str(cosineNorm(A))
str(cosineNorm(A, mode="l2norm"))
```
**cyclone**

**Cell cycle phase classification**

**Description**

Classify single cells into their cell cycle phases based on gene expression data.

**Usage**

```r
## S4 method for signature 'ANY'
cyclone(x, pairs, gene.names=rownames(x), iter=1000, min.iter=100, min.pairs=50, 
BPPARAM=SerialParam(), verbose=FALSE, subset.row=NULL)
```

```r
## S4 method for signature 'SingleCellExperiment'
cyclone(x, pairs, subset.row=NULL, ..., assay.type="counts", get.spikes=FALSE)
```

**Arguments**

- `x`: A numeric matrix-like object of gene expression values where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.
- `pairs`: A list of data.frames produced by `sandbag`, containing pairs of marker genes.
- `gene.names`: A character vector of gene names, with one value per row in `x`.
- `iter`: An integer scalar specifying the number of iterations for random sampling to obtain a cycle score.
- `min.iter`: An integer scalar specifying the minimum number of iterations for score estimation.
- `min.pairs`: An integer scalar specifying the minimum number of pairs for cycle estimation.
- `BPPARAM`: A BiocParallelParam object to use in `bplapply` for parallel processing.
- `verbose`: A logical scalar specifying whether diagnostics should be printed to screen.
- `subset.row`: See ?"scran-gene-selection".
- `assay.type`: A string specifying which assay values to use, e.g., "counts" or "logcounts".
- `get.spikes`: See ?"scran-gene-selection".

**Details**

This function implements the classification step of the pair-based prediction method described by Scialdone et al. (2015). To illustrate, consider classification of cells into G1 phase. Pairs of marker genes are identified with `sandbag`, where the expression of the first gene in the training data is greater than the second in G1 phase but less than the second in all other phases. For each cell, `cyclone` calculates the proportion of all marker pairs where the expression of the first gene is greater than the second in the new data `x` (pairs with the same expression are ignored). A high proportion suggests that the cell is likely to belong in G1 phase, as the expression ranking in the new data is consistent with that in the training data.

Proportions are not directly comparable between phases due to the use of different sets of gene pairs for each phase. Instead, proportions are converted into scores (see below) that account for the size
and precision of the proportion estimate. The same process is repeated for all phases, using the corresponding set of marker pairs. Cells with G1 or G2M scores above 0.5 are assigned to the G1 or G2M phases, respectively. (If both are above 0.5, the higher score is used for assignment.) Cells can be assigned to S phase based on the S score, but a more reliable approach is to define S phase cells as those with G1 and G2M scores below 0.5.

For cyclone, SingleCellExperiment-method, the matrix of counts is used but can be replaced with expression values by setting assay.type. By default, get.spikes=FALSE which means that any rows corresponding to spike-in transcripts will not be considered for score calculation. This is for the same reasons as described in ?sandbag.

While this method is described for cell cycle phase classification, any biological groupings can be used here – see ?sandbag for details. However, for non-cell cycle phase groupings, the output phases will be an empty character vector. Users should manually apply their own score thresholds for assigning cells into specific groups.

Value

A list is returned containing:

- phases: A character vector containing the predicted phase for each cell.
- scores: A data frame containing the numeric phase scores for each phase and cell (i.e., each row is a cell).
- normalized.scores: A data frame containing the row-normalized scores (i.e., where the row sum for each cell is equal to 1).

Description of the score calculation

To make the proportions comparable between phases, a distribution of proportions is constructed by shuffling the expression values within each cell and recalculating the proportion. The phase score is defined as the lower tail probability at the observed proportion. High scores indicate that the proportion is greater than what is expected by chance if the expression of marker genes were independent (i.e., with no cycle-induced correlations between marker pairs within each cell).

By default, shuffling is performed iter times to obtain the distribution from which the score is estimated. However, some iterations may not be used if there are fewer than min.pairs pairs with different expression, such that the proportion cannot be calculated precisely. A score is only returned if the distribution is large enough for stable calculation of the tail probability, i.e., consists of results from at least min.iter iterations.

Note that the score calculation in cyclone is slightly different from that described originally by Scialdone et al. The original code shuffles all expression values within each cell, while in this implementation, only the expression values of genes in the marker pairs are shuffled. This modification aims to use the most relevant expression values to build the null score distribution.

Author(s)

Antonio Scialdone, with modifications by Aaron Lun

References


See Also

sandbag
Example(sandbag) # Using the mocked-up data in this example.

# Classifying (note: test.data!=training.data in real cases)
test <- training
assignments <- cyclone(test, out)
head(assignments$scores)
head(assignments$phases)

# Visualizing
col <- character(ncells)
col[is.G1] <- "red"
col[is.G2M] <- "blue"
col[is.S] <- "darkgreen"
plot(assignments$score$G1, assignments$score$G2M, col=col, pch=16)

---

decomposeVar

Decompose the gene-level variance

Description
Decompose the gene-specific variance into biological and technical components for single-cell RNA-seq data.

Usage

```r
## S4 method for signature 'ANY, list'
decomposeVar(x, fit, block=NA, design=NA, subset.row=NULL, 
              BPPARAM=SerialParam(), ...)

## S4 method for signature 'SingleCellExperiment, list'
decomposeVar(x, fit, subset.row=NULL, ..., 
              assay.type="logcounts", get.spikes=NA)
```

Arguments

- **x**: A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to an endogenous gene. Alternatively, a SingleCellExperiment object containing such a matrix.
- **fit**: A list containing the output of `trendVar`, run on log-expression values for spike-in genes.
- **block**: A factor containing the level of a blocking factor for each cell.
- **design**: A numeric matrix describing the uninteresting factors contributing to expression in each cell. Alternatively, a single factor for one-way layouts.
- **subset.row**: See ?"scran-gene-selection".
- **BPPARAM**: A BiocParallelParam object indicating whether and how parallelization should be performed across genes.
- **...**: For `decomposeVar, matrix, list-method`, additional arguments to pass to `testVar`. For `decomposeVar, SingleCellExperiment, list-method`, additional arguments to pass to the matrix method.
**decomposeVar**

**assay.type**
A string specifying which assay values to use from x.

**get.spikes**
A logical scalar specifying whether decomposition should be performed for spike-ins.

**Details**
This function computes the variance of the normalized log-counts for each endogenous gene. The technical component of the variance for each gene is determined by interpolating the fitted trend in fit at the mean log-count for that gene. This represents variance due to sequencing noise, variability in capture efficiency, etc. The biological component is determined by subtracting the technical component from the total variance.

Highly variable genes (HVGs) can be identified as those with large biological components. Unlike other methods for decomposition, this approach estimates the variance of the log-counts rather than of the counts themselves. The log-transformation blunts the impact of large positive outliers and ensures that HVGs are driven by strong log-fold changes between cells, not differences in counts. Interpretation is not compromised – HVGs will still be so, regardless of whether counts or log-counts are considered.

If assay.type="logcounts" and the size factors are not centred at unity, a warning will be raised - see ?trendVar for details.

**Value**
A DataFrame is returned where each row corresponds to and is named after a row of x. This contains the numeric fields:

- **mean**: Mean normalized log-expression per gene.
- **total**: Variance of the normalized log-expression per gene.
- **bio**: Biological component of the variance.
- **tech**: Technical component of the variance.
- **p.value, FDR**: Raw and adjusted p-values for the test against the null hypothesis that bio=0.

If get.spikes=NA, the p.value and FDR fields will be set to NA for rows corresponding to spike-in transcripts. Otherwise, if get.spikes=FALSE, rows corresponding to spike-in transcripts are removed.

If subset.row!=NULL, each row of the output DataFrame corresponds to an element of subset.row instead.

The metadata field of the output DataFrame also contains num.cells, an integer scalar storing the number of cells in x; and resid.df, an integer scalar specifying the residual d.f. used for variance estimation.

**Accounting for uninteresting factors**
To account for uninteresting factors of variation, either block or design can be specified:

- Setting block will estimate the mean and variance of each gene for cells in each group (i.e., each level of block) separately. The technical component is also estimated for each group separately, based on the group-specific mean. Group-specific statistics are combined to obtain a single value per gene. For means and variances, this is done by taking a weighted average across groups, with weighting based on the residual d.f. (for variances) or number of cells (for means). For p-values, Stouffer’s method is used on the group-specific p-values returned by testVar, with the residual d.f. used as weights.
• Alternatively, uninteresting factors can be used to construct a design matrix to pass to the function via `design`. In this case, a linear model is fitted to the expression profile for each gene, and the variance is calculated from the residual variance of the fit. The technical component is estimated as the fitted value of the trend at the mean expression across all cells for that gene. This approach is useful for covariates or additive models that cannot be expressed as a one-way layout for use in `block`. Of course, one-way layouts can still be specified as a full design matrix or by passing a factor directly as `design`.

If either of these arguments are `NA`, they will be extracted from `fit`, assuming that the same cells were used to fit the trend. If `block` is specified, this will override any setting of `design`. Use of `block` is generally favoured as group-specific means result in a better estimate of the technical component than an average mean across all groups.

Note that the use of either `block` or `design` assumes that there are no systematic differences in the size factors across levels of an uninteresting factor. If such differences are present, we suggest using `multiBlockVar` instead, see the discussion in `?trendVar` for more details.

Feature selection

The behaviour of `get.spikes` and `subset.row` is the same as described in `?scran-gene-selection`. The only additional feature is that users can specify `get.spikes=NA`, which sets the p-value and FDR to `NA` for spike-in transcripts. This is the default as it returns the other variance statistics for diagnostic purposes, but ensures that the spike-ins are not treated as candidate HVGs. (Note that this setting is the same as `get.spikes=TRUE` when considering the interaction between `get.spikes` and `subset.row`.)

If `x` is not supplied, all genes used to fit the trend in `fit` will be used instead for the variance decomposition. This may be useful when a trend is fitted to all genes in `trendVar`, such that the statistics for all genes will already be available in `fit`. By not specifying `x`, users can avoid redundant calculations, which is particularly helpful for very large data sets.

Author(s)

Aaron Lun

References


See Also

trendVar, testVar

Examples

eexample(computeSpikeFactors) # Using the mocked-up data 'y' from this example.
y <- computeSumFactors(y) # Size factors for the endogenous genes.
y <- computeSpikeFactors(y, general.use=FALSE) # Size factors for spike-ins.
y <- normalize(y) # Normalizing the counts by the size factors.

# Decomposing technical and biological noise.
fit <- trendVar(y)
results <- decomposeVar(y, fit)
head(results)
Deconvolution Methods

## Normalization by deconvolution

### Description
Methods to normalize single-cell RNA-seq data by deconvolving size factors from cell pools.

### Usage
```r
## S4 method for signature 'ANY'
computeSumFactors(x, sizes=seq(21, 101, 5), clusters=NULL, ref.clust=NULL, max.cluster.size=3000, positive=TRUE, scaling=NULL, min.mean=1, subset.row=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
computeSumFactors(x, sizes=..., clusters=NULL, ref.clust=NULL, max.cluster.size=3000, positive=TRUE, scaling=NULL, min.mean=1, BPPARAM=SerialParam())
```

### Arguments
- **x**: A numeric matrix-like object of counts, where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.
- **sizes**: A numeric vector of pool sizes, i.e., number of cells per pool.
- **clusters**: An optional factor specifying which cells belong to which cluster, for deconvolution within clusters.
- **ref.clust**: A level of clusters to be used as the reference cluster for inter-cluster normalization.
- **max.cluster.size**: An integer scalar specifying the maximum number of cells in each cluster.
- **positive**: A logical scalar indicating whether linear inverse models should be used to enforce positive estimates.
- **scaling**: A numeric scalar containing scaling factors to adjust the counts prior to computing size factors.
- **min.mean**: A numeric scalar specifying the minimum (library size-adjusted) average count of genes to be used for normalization.
- **subset.row**: See `scran-gene-selection`.
- **BPPARAM**: A BiocParallelParam object specifying whether and how clusters should be processed in parallel.
Deconvolution Methods

... Additional arguments to pass to computeSumFactors, ANY-method.

- **assay.type**
  A string specifying which assay values to use, e.g., "counts" or "logcounts".

- **get.spikes**
  See "scran-gene-selection".

- **sf.out**
  A logical scalar indicating whether only size factors should be returned.

### Value

For computeSumFactors, ANY-method, a numeric vector of size factors for all cells in x is returned.

For computeSumFactors, SingleCellExperiment-method, an object of class x is returned containing the vector of size factors in sizeFactors(x), if sf.out=FALSE. Otherwise, the vector of size factors is returned directly.

### Overview of the deconvolution method

The computeSumFactors function provides an implementation of the deconvolution strategy (Lun et al., 2016) for scaling normalization of sparse count data. Briefly, a pool of cells is selected and the expression profiles for those cells are summed together. The pooled expression profile is normalized against an average reference pseudo-cell, constructed by averaging the counts across all cells. This defines a size factor for the pool as the median ratio between the count sums and the average across all genes.

The scaling bias for the pool is equal to the sum of the biases for the constituent cells. The same applies for the size factors, as these are effectively estimates of the bias for each cell. This means that the size factor for the pool can be written as a linear equation of the size factors for the cells. Repeating this process for multiple pools will yield a linear system that can be solved to obtain the size factors for the individual cells.

In this manner, pool-based factors are deconvolved to yield the relevant cell-based factors. The advantage is that the pool-based estimates are more accurate, as summation reduces the number of stochastic zeroes and the associated bias of the size factor estimate. This accuracy will feed back into the deconvolution process, thus improving the accuracy of the cell-based size factors.

### Pooling with a sliding window

Within each cluster (if not specified, all cells are put into a single cluster), cells are sorted by increasing library size and a sliding window is applied to this ordering. Each location of the window defines a pool of cells with similar library sizes. This avoids inflated estimation errors for very small cells when they are pooled with very large cells. Sliding the window will construct an overdetermined linear system that can be solved by least-squares methods to obtain cell-specific size factors.

Window sliding is repeated with different window sizes to construct the linear system, as specified by sizes. By default, the number of cells in each window ranges from 21 to 101. Using a range of window sizes improves the precision of the estimates, at the cost of increased computational complexity. The defaults were chosen to provide a reasonable compromise between these two considerations. The default choice also avoids rare cases of linear dependencies and unstable estimates when all pool sizes are not co-prime with the number of cells.

The smallest window should be large enough so that the pool-based size factors are, on average, non-zero. We recommend window sizes no lower than 20 for UMI data, though smaller windows may be possible for read count data.
Deconvolution Methods

Prescaling to improve accuracy

The simplest approach to pooling is to simply add the counts together for all cells in each pool. However, this is suboptimal as any errors in the estimation of the pooled size factor will propagate to all component cell-specific size factors upon solving the linear system. If the error is distributed evenly across all cell-specific size factors, the small size factors will have larger relative errors compared to the large size factors.

To avoid this, we perform “prescaling” where we divide the counts by a cell-specific factor prior to pooling. The prescaling factor should be close to the true size factor for each cell. (Obviously, the true size factor is unknown so we use the library size for each cell instead.) Solving the linear system constructed with prescaled values should yield estimates that are more-or-less equal across all cells. Thus, given similar absolute errors, the relative errors for all cells will also be similar.

The default usage assumes that the library size is roughly proportional to the true size factor. This can be violated in pathological scenarios involving extreme differential expression. In such cases, we recommend running `computeSumFactors` twice to improve accuracy. The first run is done as usual and will yield an initial estimate of the size factor for each cell. In the second run, we use our initial estimates for prescaling by supplying them to the scaling argument, which weakens the assumption above by avoiding large inaccuracies in the prescaling factor.

Obviously, this involves twice as much computational work. As a result, performing multiple iterations is not the default recommendation, especially as the benefits are only apparent in extreme circumstances.

Solving the linear system

The linear system is solved using the sparse QR decomposition from the Matrix package. However, this has known problems when the linear system becomes too large (see https://stat.ethz.ch/pipermail/r-help/2011-August/285855.html). In such cases, set clusters to break up the linear system into smaller, more manageable components that can be solved separately. The default `max.cluster.size` will arbitrarily break up the cell population (within each cluster, if specified) so that we never pool more than 3000 cells.

Normalization within and between clusters

In general, it is more appropriate to pool more similar cells to avoid violating the assumption of a non-DE majority of genes across the data set. This can be done by specifying the clusters argument where cells in each cluster have similar expression profiles. Deconvolution is subsequently applied on the cells within each cluster. Each cluster should contain a sufficient number of cells for pooling – a warning is raised if the number of cells is less than the maximum value of `sizes`.

A convenience function `quickCluster` is provided for rapid clustering based on Spearman’s rank correlation.

Size factors computed within each cluster must be rescaled for comparison between clusters. This is done by normalizing between clusters to identify the rescaling factor. One cluster is chosen as a “reference” (by default, that with the median of the mean per-cell library sizes is used) to which all others are normalized. Ideally, a cluster that is not extremely different from all other clusters should be used as the reference. This can be specified using `ref.clust` if there is prior knowledge about which cluster is most suitable, e.g., from PCA or t-SNE plots.

Dealing with negative size factors

In theory, it is possible to obtain negative estimates for the size factors. These values are obviously nonsensical and `computeSumFactors` will raise a warning if they are encountered. Negative estimates are mostly commonly generated from low quality cells with few expressed features, such
that most counts are zero even after pooling. They may also occur if insufficient filtering of low-abundance genes was performed.

To avoid negative size factors, the best solution is to increase the stringency of the filtering.

- If only a few negative size factors are present, they are likely to correspond to a few low-quality cells with few expressed features. Such cells are difficult to normalize reliably under any approach, and can be removed by increasing the stringency of the quality control.
- If many negative size factors are present, it is probably due to insufficient filtering of low-abundance genes. This results in many zero counts and pooled size factors of zero, and can be fixed by filtering out more genes with a higher min.mean.

Another approach is to increase in the number of sizes to improve the precision of the estimates. This reduces the chance of obtaining negative size factors due to estimation error, for cells where the true size factors are very small.

As a last resort, positive=TRUE is set by default, which uses cleanSizeFactors to coerce any negative estimates to positive values. This ensures that, at the very least, downstream analysis is possible even if the size factors for affected cells are not accurate. Users can skip this step by setting positive=FALSE to perform their own diagnostics or coercions.

**Gene selection**

Note that pooling does not eliminate the need to filter out low-abundance genes. As mentioned above, if too many genes have consistently low counts across all cells, even the pool-based size factors will be zero. This results in zero or negative size factor estimates for many cells. Filtering ensures that this is not the case, e.g., by removing genes with average counts below 1.

In general, genes with average counts below 1 (for read count data) or 0.1 (for UMI data) should not be used for normalization. Such genes will automatically be filtered out by applying a minimum threshold min.mean on the library size-adjusted average counts from calcAverage. If clusters is specified, filtering by min.mean is performed on the per-cluster average during within-cluster normalization, and then on the (library size-adjusted) average of the per-cluster averages during between-cluster normalization.

Any filtering on min.mean is intersected with get.spikes=FALSE and/or a specified subset.row. For example, only non-spike-in genes that pass the filter are retained if get.spikes=TRUE.

Spike-in transcripts are not included with the default get.spikes=FALSE as they can behave differently from the endogenous genes. Users wanting to perform spike-in normalization should see computeSpikeFactors instead.

**Obtaining standard errors**

Previous versions of computeSumFactors would return the standard error for each size factor when errors=TRUE. This argument is no longer available as we have realized that standard error estimation from the linear model is not reliable. Errors are likely underestimated due to correlations between pool-based size factors when they are computed from a shared set of underlying counts. Users wishing to obtain a measure of uncertainty are advised to perform simulations instead, using the original size factor estimates to scale the mean counts for each cell. Standard errors can then be calculated as the standard deviation of the size factor estimates across simulation iterations.

**Author(s)**

Aaron Lun and Karsten Bach
Denoise with PCA

References

Lun ATL, Bach K and Marioni JC (2016). Pooling across cells to normalize single-cell RNA sequencing data with many zero counts. Genome Biol. 17:75

See Also

quickCluster

Examples

# Mocking up some data.
set.seed(100)
popsizen <- 200
ngenes <- 10000
all.facs <- 2*runorm(popsizen, sd=0.5)
counts <- matrix(rnbinom(ngenes*popsizen, mu=all.facs*10, size=1), ncol=popsizen, byrow=TRUE)

# Computing the size factors.
out.facs <- computeSumFactors(counts)
head(out.facs)
plot(colSums(counts), out.facs, log="xy")

Denoise with PCA

Denoise expression with PCA

Description

Denoise log-expression data by removing principal components corresponding to technical noise.

Usage

## S4 method for signature 'ANY'
denoisePCA(x, technical, subset.row=NULL,
value=c("pca", "n", "lowrank"), min.rank=5, max.rank=100,
approximate=FALSE, rand.seed=1000, irlba.args=list())

## S4 method for signature 'SingleCellExperiment'
denoisePCA(x, ..., subset.row=NULL,
get.spikes=FALSE, sce.out=TRUE)

Arguments

xA numeric matrix of log-expression values for denoisePCA, ANY-method, or a
SingleCellExperiment object containing such values for denoisePCA, SingleCellExperiment-method.
technicalA function that computes the technical component of the variance for a gene
with a given mean (log-)expression, see ?trendVar. This can also be a numeric vector containing the technical component for each gene in x; or the entire
DataFrame generated by decomposeVar or combineVar.
Denoise with PCA

**value**
A string specifying the type of value to return; the PCs, the number of retained components, or a low-rank approximation.

**min.rank, max.rank**
Integer scalars specifying the minimum and maximum number of PCs to retain.

**approximate**
A logical scalar indicating whether approximate SVD should be performed via `irlba`.

**rand.seed**
A numeric scalar specifying the seed for approximate PCA when `approximate=TRUE`. This can be set to NA to use the existing session seed.

**irlba.args**
A named list of additional arguments to pass to `irlba` when `approximate=TRUE`.

**...**
Further arguments to pass to `denoisePCA,ANY-method`.

**assay.type**
A string specifying which assay values to use.

**get.spikes**
See `{scran-gene-selection}`.

**sce.out**
A logical scalar specifying whether a modified SingleCellExperiment object should be returned.

**Details**

This function performs a principal components analysis to reduce random technical noise in the data. Random noise is uncorrelated across genes and should be captured by later PCs, as the variance in the data explained by any single gene is low. In contrast, biological substructure should be correlated and captured by earlier PCs, as this explains more variance for sets of genes. The idea is to discard later PCs to remove technical noise and improve the resolution of substructure.

The choice of the number of PCs to discard is based on the estimates of technical variance in technical. This can be a vector of technical components for each gene, as produced by `decomposeVar`. Alternatively, the trend function obtained from `trendVar` is used to compute the technical component for each gene, based on its mean abundance. An estimate of the overall technical variance is estimated by summing the values across genes. Genes with negative biological components are ignored during downstream analyses to ensure that the total variance is greater than the overall technical estimate.

The function works by assuming that the first X PCs contain all of the biological signal, while the remainder contains technical noise. For a given value of X, an estimate of the total technical variance is calculated from the sum of variance explained by all of the later PCs. A value of X is found such that the predicted technical variance equals the estimated technical variance. Note that X will be coerced to lie between `min.rank` and `max.rank`.

**Value**

For `denoisePCA,ANY-method`, a numeric matrix is returned containing the selected PCs (columns) for all cells (rows) if `value="pca"`. If `value="n"`, it will return an integer scalar specifying the number of retained components. If `value="lowrank"`, it will return a low-rank approximation of x with the same dimensions.

For `denoisePCA,SingleCellExperiment-method`, the return value is the same as `denoisePCA,ANY-method` if `sce.out=FALSE` or `value="n"`. Otherwise, a SingleCellExperiment object is returned that is a modified version of x. If `value="pca"`, the modified object will contain the PCs as the "PCA" entry in the `reducedDims` slot. If `value="lowrank"`, it will return a low-rank approximation in `assays` slot, named "lowrank".

In all cases, the fractions of variance explained by the first `max.rank` PCs will be stored as the "percentVar" attribute in the return value. This is directly compatible with functions such as `plotPCA`.
Generating low-rank approximations

When value="lowrank", a low-rank approximation of the original matrix is computed using only the first X components. This is useful for denoising prior to downstream applications that expect gene-wise expression profiles.

Note that approximate values are returned for all genes. This includes “unselected” genes, i.e., with negative biological components or that were not selected with subset.row. The low-rank approximation is obtained for these genes by projecting their expression profiles into the low-dimensional space defined by the SVD on the selected genes. The exception is when get.spikes=FALSE, whereby zeroes are returned for all spike-in rows.

Handling uninteresting factors of variation

Previous versions of this function allowed users to specify a design matrix to regress out uninteresting factors of variation. This behaviour is now defunct in favour of users running appropriate batch correction functions beforehand. If x is a batch-corrected expression matrix, technical should not be a function. Rather, users should supply a DataFrame from decomposeVar or combineVar. This is because calculation of the residual variance (or mean) from a corrected matrix is not accurate, so precomputed values are necessary.

Any correction should preserve the residual variance of each gene for the variances to be correctly interpreted. Specifically, calculation of the variance within each batch should yield the same result in both the corrected and original matrices. This limits the possible methods for batch correction:

- removeBatchEffect performs a linear regression for each gene, removing unwanted factors while retaining relevant biological effects (if known). This simply involves fitting a linear model and removing undesired coefficients, so the residual variance is unaffected.

- mnnCorrect will identify cells of the same biological identity across batches, and use them to compute correction vectors. This usually requires setting cos.norm.out=FALSE and sigma to some large value to preserve the variance.

Note that, if technical is a DataFrame, the denoisePCA function will also adjust scale the precomputed variances to match the sample variance of each gene in x. Specifically, variance components are scaled until technical$total is equal to the sample variance. This reflects the fact that the PCA (and the variance explained by each PC) does not account for the loss of residual degrees of freedom due to blocking factors. Scaling adjusts the estimated technical component to match the decreased total when the sample variance is used instead of the residual variance, and ensures that we have a correct estimate of the proportion of the sample variance driven by technical noise.

Author(s)

Aaron Lun

See Also
trendVar, decomposeVar

Examples

# Mocking up some data.
ngenes <- 1000
is.spike <- 1:100
means <- 2*runif(ngenes, 6, 10)
dispersions <- 10/means + 0.2
nsamples <- 50
counts <- matrix(rnbinom(ngenes*nsamples, mu=means, size=1/dispersions), ncol=nsamples)
rownames(counts) <- paste0("Gene", seq_len(ngenes))

# Fitting a trend.
lcounts <- log2(counts + 1)
fit <- trendVar(lcounts, subset.row=is.spike)

# Denoising (not including the spike-ins in the PCA;
# spike-ins are automatically removed with the SingleCellExperiment method).
pcs <- denoisePCA(lcounts, technical=fit$trend, subset.row=-is.spike)
dim(pcs)

---

**Distance-to-median**

**Compute the distance-to-median statistic**

**Description**

Compute the distance-to-median statistic for the CV2 residuals of all genes

**Usage**

```
DM(mean, cv2, win.size=51)
```

**Arguments**

- `mean`: A numeric vector of average counts for each gene.
- `cv2`: A numeric vector of squared coefficients of variation for each gene.
- `win.size`: An integer scalar specifying the window size for median-based smoothing. This should be odd or will be incremented by 1.

**Details**

This function will compute the distance-to-median (DM) statistic described by Kolodziejczyk et al. (2015). Briefly, a median-based trend is fitted to the log-transformed cv2 against the log-transformed mean using `runmed`. The DM is defined as the residual from the trend for each gene. This statistic is a measure of the relative variability of each gene, after accounting for the empirical mean-variance relationship. Highly variable genes can then be identified as those with high DM values.

**Value**

A numeric vector of DM statistics for all genes.

**Author(s)**

Jong Kyoung Kim, with modifications by Aaron Lun

**References**

Examples

# Mocking up some data
genomes <- 1000
cells <- 100
gene.means <- 2*runif(genomes, 0, 10)
dispersions <- 1/gene.means + 0.2
counts <- matrix(rnbinom(genomes*cells, mu=gene.means, size=1/dispersions), nrow=genomes)

# Computing the DM.
means <- rowMeans(counts)
cv2 <- apply(counts, 1, var)/means^2
dm.stat <- DM(means, cv2)
head(dm.stat)

doubletCells

Detect doublet cells

Description

Identify potential doublet cells based on simulations of putative doublet expression profiles.

Usage

## S4 method for signature 'ANY'

```r
doubletCells(x, size.factors.norm=NULL, size.factors.content=NULL, 
k=50, subset.row=NULL, niters=max(10000, ncol(x)), block=10000, 
d=50, approximate=FALSE, irlba.args=list(), force.match=FALSE, 
force.k=20, force.ndist=3, BNPARAM=NULL, BPPARAM=SerialParam())
```

## S4 method for signature 'SingleCellExperiment'

```r
doubletCells(x, size.factors.norm=NA, ..., subset.row=NULL, 
assay.type="counts", get.spikes=FALSE)
```

Arguments

- `x` A numeric matrix-like object of count values, where each column corresponds to a cell and each row corresponds to an endogenous gene. Alternatively, a SingleCellExperiment object containing such a matrix.
- `size.factors.norm` A numeric vector of size factors for normalization of `x` prior to PCA and distance calculations. If `NULL`, defaults to the column sums of `x`. For the SingleCellExperiment method, this may be `NA`, in which case `sizeFactors(x)` is used instead.
- `size.factors.content` A numeric vector of size factors for RNA content normalization of `x` prior to simulating doublets.
- `k` An integer scalar specifying the number of nearest neighbours to use to determine the bandwidth for density calculations.
- `subset.row` See ?"scran-gene-selection".
- `niters` An integer scalar specifying how many simulated doublets should be generated.
block  An integer scalar controlling the rate of doublet generation, to keep memory usage low.

d  An integer scalar specifying the number of components to retain after the PCA.

approximate  A logical scalar indicating whether irlba should be used to perform the initial PCA.

irlba.args  A list of arguments to pass to irlba when approximate=TRUE.

force.match  A logical scalar indicating whether remapping of simulated doublets to original cells should be performed.

force.k  An integer scalar specifying the number of neighbours to use for remapping if force.match=TRUE.

force.ndist  A numeric scalar specifying the bandwidth for remapping if force.match=TRUE.

BNPARAM  A BiocNeighborParam object specifying the nearest neighbor algorithm. Defaults to an exact algorithm if NULL, see ?findKNN for more details.

BPPARAM  A BiocParallelParam object specifying whether the neighbour searches should be parallelized.

assay.type  A string specifying which assay values to use, e.g., "counts" or "logcounts".

get.spikes  See ?"scran-gene-selection".

Details

This function simulates doublets by adding the count vectors for two randomly chosen cells in x. For each cell, we compute the density of simulated doublets and compare it to the density of original cells. Genuine doublets should have a high density of simulated doublets relative to the density of its neighbourhood. Thus, the doublet score for each cell is defined as the ratio of densities of simulated doublets to the (squared) density of the original cells.

Densities are calculated in low-dimensional space after a PCA on the log-normalized expression matrix of x. Simulated doublets are projected into the low-dimensional space using the rotation vectors computed from the original cells. A tricube kernel is used to compute the density around each cell. The bandwidth of the kernel is set to the median distance to the k nearest neighbour across all cells.

The two size factor arguments have different roles:

- size.factors.norm contains the size factors to be used for normalization prior to PCA and distance calculations. This can be set to ensure that the low-dimensional space is consistent with that in the rest of the analysis.

- size.factors.content is much more important, and represents the size factors that preserve RNA content differences. This is usually computed from spike-in RNA and ensures that the simulated doublets have the correct ratio of contributions from the original cells.

It is possible to set both of these arguments, as they will not interfere with each other.

If force.match=TRUE, simulated doublets will be remapped to the nearest neighbours in the original data. This is done by taking the (tricube-weighted) average of the PC scores for the force.k nearest neighbors. The tricube bandwidth for remapping is chosen by taking the median distance and multiplying it by force.ndist, to protect against later neighbours that might be outliers. The aim is to adjust for unknown differences in RNA content that would cause the simulated doublets to be systematically displaced from their true locations. However, it may also result in spuriously high scores for single cells that happen to be close to a cluster of simulated doublets.
Value

A numeric vector of doublet scores for each cell in \( x \).

Author(s)

Aaron Lun

Examples

```r
# Mocking up an example.
genes <- 100
m1 <- 2^rexp(genes)
m2 <- 2^rnorm(genes)

counts.1 <- matrix(rpois(genes*100, m1), nrow=genes)
counts.2 <- matrix(rpois(genes*100, m2), nrow=genes)
counts.m <- matrix(rpois(genes*20, m1+m2), nrow=genes)

counts <- cbind(counts.1, counts.2, counts.m)
clusters <- rep(1:3, c(ncol(counts.1), ncol(counts.2), ncol(counts.m)))

# Find potential doublets...
scores <- doubletCells(counts)
boxplot(split(scores, clusters))
```

**doubletCluster**

*Detect doublet clusters*

**Description**

Identify potential clusters of doublet cells based on intermediate expression profiles.

**Usage**

```r
## S4 method for signature 'ANY'
doubletCluster(x, clusters, subset.row=NULL, threshold=0.05, ...)

## S4 method for signature 'SingleCellExperiment'
doubletCluster(x, ..., subset.row=NULL, assay.type="counts",
              get.spikes=FALSE)
```

**Arguments**

- `x` A numeric matrix-like object of count values, where each column corresponds to a cell and each row corresponds to an endogenous gene. Alternatively, a SingleCellExperiment object containing such a matrix.
- `clusters` A vector of cluster identities for all cells.
- `subset.row` See ?"scran-gene-selection".
- `threshold` A numeric scalar specifying the FDR threshold with which to identify significant genes.
For the ANY method, additional arguments to pass to `findMarkers`

For the SingleCellExperiment method, additional arguments to pass to the ANY method.

`assay.type` A string specifying which assay values to use, e.g., "counts" or "logcounts".


**Details**

This function detects clusters of doublet cells in a manner similar to the method used by Bach et al. (2017). For each “query” cluster, we examine all possible pairs of “source” clusters, hypothesizing that the query consists of doublets formed from the two sources. If so, gene expression in the query cluster should be strictly intermediate between the two sources after library size normalization.

We apply pairwise t-tests to the normalized log-expression profiles (see `normalize`) to reject this null hypothesis. This is done by identifying genes that are consistently up- or down-regulated in the query compared to both of the sources. We count the number of genes that reject the null hypothesis at the specified FDR threshold. For each query cluster, the most likely pair of source clusters is that which minimizes the number of significant genes.

Potential doublet clusters are identified using the following characteristics:

- Low number of significant genes, i.e., \( N \) in the output DataFrame. The threshold can be identified by looking for small outliers in \( \log(N) \) across all clusters, under the assumption that most clusters are not doublets (and thus should have high \( N \)).
- A reasonable proportion of cells in the cluster, i.e., \( \text{prop} \). This requires some expectation of the doublet rate in the experimental protocol.
- Library sizes of the source clusters that are below that of the query cluster, i.e., \( \text{lib.size}^* \) values below unity. This assumes that the doublet cluster will contain more RNA and have more counts than either of the two source clusters.

For each query cluster, the function will only report the pair of source clusters with the lowest \( N \). It is possible that a source pair with slightly higher (but still low) value of \( N \) may have more appropriate \( \text{lib.size}^* \) values. Thus, it may be valuable to examine `all.pairs` in the output, especially in over-clustered data sets with closely neighbouring clusters.

The reported `p.value` is of little use in a statistical sense, and is only provided for inspection. Technically, it could be treated as the Simes combined `p.value` against the doublet hypothesis for the query cluster. However, this does not account for the multiple testing across all pairs of clusters for each chosen cluster, especially as we are choosing the pair that is most concordant with the doublet null hypothesis.

We use library size normalization (via `librarySizeFactors`) even if existing size factors are present. This is because intermediate expression of the doublet cluster is not guaranteed for arbitrary size factors. For example, expression in the doublet cluster will be higher than that in the source clusters if normalization was performed with spike-in size factors.

**Value**

A DataFrame containing one row per query cluster with the following fields:

`source1`: String specifying the identity of the first source cluster.

`source2`: String specifying the identity of the second source cluster.

`N`: Integer, number of genes that are significantly non-intermediate in the query cluster compared to the two putative source clusters.
best: String specifying the identify of the top gene with the lowest p-value against the doublet hypothesis for this combination of query and source clusters.

p.value: Numeric, containing the adjusted p-value for the best gene.

lib.size1: Numeric, ratio of the median library sizes for the first source cluster to the query cluster.

lib.size2: Numeric, ratio of the median library sizes for the second source cluster to the query cluster.

prop: Numeric, proportion of cells in the query cluster.

all.pairs: A SimpleList object containing the above statistics for every pair of potential source clusters.

Each row is named according to its query cluster.

Author(s)

Aaron Lun

References


Examples

# Mocking up an example.
ngenes <- 100
mu1 <- 2^rexp(ngenes)
mu2 <- 2^rnorm(ngenes)
counts.1 <- matrix(rpois(ngenes*100, mu1), nrow=ngenes)
counts.2 <- matrix(rpois(ngenes*100, mu2), nrow=ngenes)
counts.m <- matrix(rpois(ngenes*20, mu1+mu2), nrow=ngenes)
counts <- cbind(counts.1, counts.2, counts.m)
clusters <- rep(1:3, c(ncol(counts.1), ncol(counts.2), ncol(counts.m)))

# Find potential doublets...
dbl <- doubletCluster(counts, clusters)
dbl

library(scater)
isOutlier dbl$N, log=TRUE, type="lower") # based on "N"...

dbl$lib.size1 < 1 & dbl$lib.size2 < 1 # with help from "lib.size"
**fastMNN**

**Fast mutual nearest neighbors correction**

**Description**

Correct for batch effects in single-cell expression data using the mutual nearest neighbors (MNN) method.

**Usage**

```r
fastMNN(..., k=20, cos.norm=TRUE, ndist=3, d=50, approximate=FALSE,
        irlba.args=list(), subset.row=NULL, auto.order=FALSE, pc.input=FALSE,
        compute.variances=FALSE, assay.type="logcounts", get.spikes=FALSE,
        BNPARAM=NULL, BPPARAM=SerialParam())
```

**Arguments**

- `...`: Two or more log-expression matrices where genes correspond to rows and cells correspond to columns. One matrix should contain cells from the same batch; multiple matrices represent separate batches of cells. Each matrix should contain the same number of rows, corresponding to the same genes (in the same order).
  Alternatively, two or more SingleCellExperiment objects can be supplied, where each object contains a log-expression matrix in the `assay.type` assay.
  Alternatively, two or more matrices of low-dimensional representations can be supplied if `pc.input=TRUE`. Here, rows are cells and columns are dimensions (the latter should be common across all batches).

- `k`: An integer scalar specifying the number of nearest neighbors to consider when identifying MNNs.

- `cos.norm`: A logical scalar indicating whether cosine normalization should be performed on the input data prior to calculating distances between cells.

- `ndist`: A numeric scalar specifying the threshold beyond which neighbours are to be ignored when computing correction vectors. Each threshold is defined in terms of the number of median distances.

- `d, approximate, irlba.args`: Further arguments to pass to `multiBatchPCA`. Setting `approximate=TRUE` is recommended for large data sets with many cells.

- `subset.row`: See `?"scran-gene-selection"`.

- `auto.order`: Logical scalar indicating whether re-ordering of batches should be performed to maximize the number of MNN pairs at each step. Alternatively, an integer vector containing a permutation of `1:N` where `N` is the number of batches.

- `compute.variances`: Logical scalar indicating whether the percentage of variance lost due to non-orthogonality should be computed.

- `pc.input`: Logical scalar indicating whether the values in `...` are already low-dimensional, e.g., the output of `multiBatchPCA`.

- `assay.type`: A string or integer scalar specifying the assay containing the expression values, if SingleCellExperiment objects are present in `...`.
get.spikes  See "scran-gene-selection". Only relevant if ... contains SingleCellExperiment objects.

BNPARAM A BiocNeighborParam object specifying the nearest neighbor algorithm. Defaults to an exact algorithm if NULL, see ?findKNN for more details.

BPPARAM A BiocParallelParam object specifying whether the PCA and nearest-neighbor searches should be parallelized.

Details

This function provides a variant of the mnnCorrect function, modified for speed and more robust performance. In particular:

- It performs a multi-sample PCA via multiBatchPCA and subsequently performs all calculations in the PC space. This reduces computational work and provides some denoising - see, comments in ?denoisePCA. As a result, though, the corrected output cannot be interpreted on a gene level and is useful only for cell-level comparisons, e.g., clustering and visualization.

- The correction vector for each cell is directly computed from its k nearest neighbours in the same batch. Specifically, only the k nearest neighbouring cells that also participate in MNN pairs are used. Each MNN-participating neighbour is weighted by distance from the current cell, using a tricube scheme with bandwidth equal to the median distance multiplied by ndist. This ensures that the correction vector only uses information from the closest cells, improving the fidelity of local correction.

- Issues with “kissing” are avoided with a two-step procedure that removes variation along the batch effect vector. First, the average correction vector across all MNN pairs is computed. Cell coordinates are adjusted such that all cells in a single batch have the same position along this vector. The correction vectors are then recalculated with the adjusted coordinates (but the same MNN pairs).

The default setting of cos.norm=TRUE provides some protection against differences in scaling for arbitrary expression matrices. However, if possible, we recommend using the output of multiBatchNorm as input to fastMNN. This will equalize coverage on the count level before the log-transformation, which is a more accurate rescaling than cosine normalization on the log-values.

If compute.variances=TRUE, the function will compute the percentage of variance that is parallel to the average correction vectors at each merge step. This represents the variance that is not orthogonal to the batch effect and subsequently lost upon correction. Large proportions suggest that there is biological structure that is parallel to the batch effect, corresponding to violations of the assumption that the batch effect is orthogonal to the biological subspace.

Value

A named list containing:

- corrected: A matrix with number of columns equal to d, and number of rows equal to the total number of cells in ... Cells are ordered in the same manner as supplied in ...

- batch: A Rle containing the batch of origin for each row (i.e., cell) in corrected.

- pairs: A list of DataFrames specifying which pairs of cells in corrected were identified as MNNs at each step.

If pc.input=FALSE, an additional rotation field will be present, containing the matrix of rotation vectors from multiBatchPCA.

If compute.variances=TRUE, an additional lost.var field will be present containing a numeric vector of percentages of variances lost for each batch.
Controlling the merge order

By default, batches are merged in the user-supplied order. However, if auto.order=TRUE, batches are ordered to maximize the number of MNN pairs at each step. The aim is to improve the stability of the correction by first merging more similar batches with more MNN pairs. This can be somewhat time-consuming as MNN pairs need to be iteratively recomputed for all possible batch pairings. It is often more convenient for the user to specify an appropriate ordering based on prior knowledge about the batches.

If auto.order is an integer vector, it is treated as an ordering permutation with which to merge batches. For example, if auto.order=c(4,1,3,2), batches 4 and 1 in ... are merged first, followed by batch 3 and then batch 2. This is often more convenient than changing the order manually in .... which would alter the order of batches in the output corrected matrix. Indeed, no matter what the setting of auto.order is, the order of cells in the output corrected matrix is always the same.

Further control of the merge order can be achieved by performing the multi-sample PCA outside of this function with multiBatchPCA. Then, batches can be progressively merged by repeated calls to fastMNN with pc.input=TRUE. This is useful in situations where the order of batches to merge is not straightforward, e.g., involving hierarchies of batch similarities. We only recommend this mode for advanced users, and note that:

- multiBatchPCA will not perform cosine-normalization, so it is the responsibility of the user to cosine-normalize each batch beforehand with cosineNorm to recapitulate results with cos.norm=TRUE.
- multiBatchPCA must be run on all samples at once, to ensure that all cells are projected to the same low-dimensional space.
- Setting pc.input=TRUE is critical to avoid unnecessary (and incorrect) cosine-normalization and PCA within each step of the merge.

See the Examples below for how the pc.input argument should be used.

Choice of genes

Users should set subset.row to subset the inputs to highly variable genes or marker genes. This provides more meaningful identification of MNN pairs by reducing the noise from irrelevant genes. Note that users should not be too restrictive with subsetting, as high dimensionality is required to satisfy the orthogonality assumption in MNN detection.

For SingleCellExperiment inputs, spike-in transcripts should be the same across all objects. They are automatically removed unless get.spikes=TRUE, see "scran-gene-selection" for more details.

The reported coordinates for cells refer to a low-dimensional space, but it may be desirable to obtain corrected gene expression values, e.g., for visualization. This can be done by computing the cross-product of the coordinates with the rotation matrix - see the Examples below. Note that this will represent corrected values in the space defined by the inputs (e.g., log-transformed) and after cosine normalization if cos.norm=TRUE.

Author(s)

Aaron Lun

References


See Also

mnnCorrect, irlba, multiBatchNorm

Examples

B1 <- matrix(rnorm(10000), ncol=50) # Batch 1
B2 <- matrix(rnorm(10000), ncol=50) # Batch 2
out <- fastMNN(B1, B2) # corrected values
names(out)

# An equivalent approach with PC input.
cB1 <- cosineNorm(B1)
cB2 <- cosineNorm(B2)
pcs <- multiBatchPCA(cB1, cB2)
out.2 <- fastMNN(pcs[[1]], pcs[[2]], pc.input=TRUE)
all.equal(out[1:3], out.2) # should be TRUE (no rotation)

# Obtaining corrected expression values for genes 1 and 10.
cor.exp <- tcrossprod(out$rotation[,c(1:10)], out$corrected)
dim(cor.exp)

findMarkers

Find marker genes

Description

Find candidate marker genes for clusters of cells, by testing for differential expression between clusters.

Usage

## S4 method for signature 'ANY'
findMarkers(x, clusters, gene.names=rownames(x), block=NULL, design=NULL, pval.type=c("any", "all"), direction=c("any", "up", "down"), lfc=0, log.p=FALSE, full.stats=FALSE, subset.row=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
findMarkers(x, ..., subset.row=NULL, assay.type="logcounts", get.spikes=FALSE)

Arguments

x A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to an endogenous gene. Alternatively, a SingleCellExperiment object containing such a matrix.

clusters A vector of cluster identities for all cells.
gene.names A character vector of gene names with one value for each row of x.
block A factor specifying the blocking level for each cell.
findMarkers

- **design**: A numeric matrix containing blocking terms, i.e., uninteresting factors driving expression across cells.
- **pval.type**: A string specifying the type of combined p-value to be computed, i.e., Simes’ or IUT.
- **direction**: A string specifying the direction of log-fold changes to be considered for each cluster.
- **lfc**: A positive numeric scalar specifying the log-fold change threshold to be tested against.
- **log.p**: A logical scalar indicating if log-transformed p-values/FDRs should be returned.
- **full.stats**: A logical scalar indicating whether all statistics (i.e., raw and BH-adjusted p-values) should be returned for each pairwise comparison.
- **subset.row**: See `?"scran-gene-selection"`.
- **BPPARAM**: A BiocParallelParam object indicating whether and how parallelization should be performed across genes.
- **...**: Additional arguments to pass to the ANY method.
- **assay.type**: A string specifying which assay values to use, e.g., "counts" or "logcounts".
- **get.spikes**: See `?"scran-gene-selection"`.

**Details**

This function provides a convenience wrapper for marker gene identification, based on running `pairwiseTTests` and passing the result to `combineMarkers`. All of the arguments above are supplied directly to one of these two functions.

Note that `log.p` only affects the combined p-values and FDRs. If `full.stats=TRUE`, the p-values for each pairwise comparison will be log-transformed regardless of the value of `log.p`.

**Value**

A named list of DataFrames, each of which contains a sorted marker gene list for the corresponding cluster. See `?combineMarkers` for more details on the output format.

**Author(s)**

Aaron Lun

**See Also**

See `pairwiseTTests` and `combineMarkers` for the individual functions.

See `overlapExprs` for the equivalent function using t-tests.

**Examples**

```r
# Using the mocked-up data 'y2' from this example.
ex <- example(computeSpikeFactors)
y2 <- normalize(y2)
kout <- kmeans(t(logcounts(y2)), centers=2) # Any clustering method is okay.
out <- findMarkers(y2, clusters=kout$cluster)
```
Gene selection

Description

Details on how gene selection is performed in almost all `scran` functions.

Subsetting by row

For functions accepting some matrix \( x \), we can choose to perform calculations only on a subset of rows with `subset.row`. This can be a logical, integer or character vector indicating the rows of \( x \) to use. If a character vector, it must contain the names of the rows in \( x \). Future support will be added for more esoteric subsetting vectors like the Bioconductor `Rle` classes.

The output of running a function with `subset.row` will *always* be the same as the output of subsetting \( x \) beforehand and passing it into the function. However, it is often more efficient to use `subset.row` as we can avoid constructing an intermediate subsetted matrix. The same reasoning applies for any \( x \) that is a `SingleCellExperiment` object.

Handling spike-in transcripts

Many functions only make sense when performed on the endogenous genes. For such functions, spike-in transcripts are automatically removed when the input is a `SingleCellExperiment` and `get.spikes=FALSE`. This is achieved based on the spike-in information returned by `isSpike`.

If `get.spikes=TRUE`, no filtering on the spike-in transcripts will be performed. Filtering will not be performed (and in fact, the argument should be unavailable) if the input is not a `SingleCellExperiment` object.

If `get.spikes=FALSE` and `subset.row` is not `NULL`, the two selections are intersected. That is, only the non-spike-in entries of `subset.row` will be used in the function.

A number of functions may require special treatment of spike-ins, e.g., `trendVar`. Refer to the corresponding documentation for more details.

Author(s)

Aaron Lun

improvedCV2

Stably model the technical coefficient of variation

Description

Model the technical coefficient of variation as a function of the mean, and determine the significance of highly variable genes. This is intended to be a more stable version of `technicalCV2`. 
Usage

```r
## S4 method for signature 'ANY'
improvedCV2(x, is.spike, sf.cell=NULL, sf.spike=NULL,
    log.prior=NULL, df=4, robust=FALSE, use.spikes=FALSE)

## S4 method for signature 'SingleCellExperiment'
improvedCV2(x, spike.type=NULL, ..., assay.type="logcounts",
    logged=NULL, normalized=NULL)
```

Arguments

- **x**: A numeric matrix of counts, normalized counts or normalized log-expression values, where each column corresponds to a cell and each row corresponds to a spike-in transcript. Alternatively, a SingleCellExperiment object that contains such values.
- **is.spike**: A vector indicating which rows of `x` correspond to spike-in transcripts.
- **sf.cell**: A numeric vector containing size factors for endogenous genes. If this is not specified, counts for endogenous genes are assumed to already be normalized. This is ignored if `log.prior` is not `NULL`.
- **sf.spike**: A numeric vector containing size factors for spike-in transcripts. If this is not specified, counts for the spike-in transcripts are assumed to already be normalized. This is ignored if `log.prior` is not `NULL`.
- **log.prior**: A numeric scalar specifying the pseudo-count added prior to log-transformation. If this is set, `x` is assumed to contain normalized log-expression values, otherwise it is assumed to contain counts.
- **df**: An integer scalar indicating the number of degrees of freedom for the spline fit with `smooth.spline`.
- **robust**: A logical scalar indicating whether robust fitting should be performed with `robustSmoothSpline`.
- **use.spikes**: A logical scalar indicating whether p-values should be returned for spike-in transcripts.
- **spike.type**: A character vector containing the names of the spike-in sets to use.
- **...**: Additional arguments to pass to `improvedCV2,ANY-method`.
- **assay.type**: A string specifying which assay values to use.
- **logged**: A logical scalar indicating if `assay.type` contains log-expression values. This is automatically determined if `assay.type` is "counts", "logcounts" or "normcounts".
- **normalized**: A logical scalar indicating if `assay.type` is normalized, also automatically determined where possible.

Details

This function will estimate the squared coefficient of variation (CV2) and mean for each spike-in transcript. Both values are log-transformed and a mean-dependent trend is fitted to the log-CV2 values, using a linear model with a natural spline of degree `df`. The trend is used to obtain the technical contribution to the CV2 for each gene. The biological contribution is computed by subtracting the technical contribution from the total CV2.

Deviations from the trend are identified by modelling the CV2 estimates for the spike-in transcripts as log-normally distributed around the fitted trend. This accounts for sampling variance as well.
as any variability in the true dispersions (e.g., due to transcript-specific amplification biases). The p-value for each gene is calculated from a one-sided Z-test on the log-CV2, using the fitted value as the mean and the robust scale estimate as the standard deviation. A Benjamini-Hochberg adjustment is applied to correct for multiple testing.

If log.prior is specified, x is assumed to contain log-expression values. These are converted back to the count scale prior to calculation of the CV2. Otherwise, x is assumed to contain raw counts, which need to be normalized with sf.cell and sf.spike prior to calculating the CV2. Note that both sets of size factors are set to 1 by default if their values are not supplied to the function.

For any given data set, the maximum CV2 that can be achieved is equal to the number of cells. (This occurs when only one cell has a non-zero expression value - proof via Holder’s inequality.) Genes with CV2 values equal to the maximum are ignored during trend fitting. This ensures that the trend is not distorted by the presence of an upper bound on CV2 values, especially at low means.

For improvedCV2, ANY-method, the rows corresponding to spike-in transcripts are specified with is.spike. These rows will be used for trend fitting, while all other rows are treated as endogenous genes. By default, p-values are set to NA for the spike-in transcripts, such that they do not contribute to the multiple testing correction. This behaviour can be modified with use.spikes=TRUE, which will return p-values for all features.

For improvedCV2, SingleCellExperiment-method, transcripts from spike-in sets named in spike.type will be used for trend fitting. If spike.type=NULL, all spike-in sets listed in x will be used. Size factors for endogenous genes are automatically extracted via sizeFactors. Spike-in-specific size factors for spike.type are extracted from x, if available; otherwise they are set to the size factors for the endogenous genes. Note that the spike-in-specific factors must be the same for each set in spike.type.

Users can also set is.spike to NA in improvedCV2, ANY-method; or spike.type to NA in improvedCV2, SingleCellExperiment-method. In such cases, all rows will be used for trend fitting, and (adjusted) p-values will be reported for all rows. This should be used in cases where there are no spike-ins. Here, the assumption is that most endogenous genes do not exhibit high biological variability and thus can be used to model decompose variation.

Value

A data frame is returned containing one row per row of x (including both endogenous genes and spike-in transcripts). Each row contains the following information:

- mean: A numeric field, containing mean (scaled) counts for all genes and transcripts.
- var: A numeric field, containing the variances for all genes and transcripts.
- cv2: A numeric field, containing CV2 values for all genes and transcripts.
- trend: A numeric field, containing the fitted value of the trend in the CV2 values. Note that the fitted value is reported for all genes and transcripts, but the trend is only fitted using the transcripts.
- p.value: A numeric field, containing p-values for all endogenous genes (NA for rows corresponding to spike-in transcripts).
- FDR: A numeric field, containing adjusted p-values for all genes.

Author(s)

Aaron Lun

See Also

ns, technicalCV2
Examples

# Mocking up some data.
genes <- 10000
samples <- 50
means <- 2*runif(genes, 6, 10)
dispersions <- 10/means + 0.2
counts <- matrix(rnbinom(genes*samples, mu=means, size=1/dispersions), ncol=samples)

is.spike[seq_len(500)] <- TRUE

# Running it directly on the counts.
out <- improvedCV2(counts, is.spike)
head(out)
plot(out$mean, out$cv2, log="xy")
points(out$mean, out$trend, col="red", pch=16, cex=0.5)

# Same again with an SingleCellExperiment.
rownames(counts) <- paste0("X", seq_len(genes))
colnames(counts) <- paste0("Y", seq_len(samples))
X <- SingleCellExperiment(list(counts=counts))
isSpike(X, "Spikes") <- is.spike

# Dummying up some size factors (for convenience only, use computeSumFactors() instead).
sizeFactors(X) <- 1
X <- computeSpikeFactors(X, general.use=FALSE)
X <- normalize(X)

# Running it.
out <- improvedCV2(X, spike.type="Spikes")
head(out)

makeTechTrend

Make a technical trend

Description

Manufacture a mean-variance trend for log-transformed expression values, assuming Poisson or NB-distributed technical noise for count data.

Usage

makeTechTrend(means, size.factors=1, tol=1e-6, dispersion=0, pseudo.count=1, approx.npts=Inf, x=NULL, BPPARAM=SerialParam())

Arguments

means A numeric vector of average counts. Note that there are means of the counts, not means of the log-expression values.
size.factors A numeric vector of size factors. If supplied, these should be centred at unity.
tol A numeric scalar specifying the tolerance for approximating the mean/variance. Lower values result in greater accuracy.
dispersion A numeric scalar specifying the dispersion for the NB distribution. If zero, a Poisson distribution is used.
pseudo.count  A numeric scalar specifying the pseudo-count to be added to the scaled counts before log-transformation.

approx.npts  An integer scalar specifying the number of interpolation points to use.

x  A SingleCellExperiment object from which size.factors and pseudo.count are extracted, and means can be automatically inferred.

BPPARAM  A BiocParallelParam object indicating whether and how parallelization should be performed across means.

Details

At each value of means, this function will examine the distribution of Poisson/NB-distributed counts with the corresponding mean. All counts are log2-transformed after addition of pseudo.count, and the mean and variance is computed for the log-transformed values. Setting dispersion to a non-zero value will use a NB distribution instead of the default Poisson.

If size.factors is a vector, one count distribution is generated for each of its elements, where the mean is scaled by the corresponding size factor. Counts are then divided by the size factor prior to log-transformation, mimicking the effect of normalization in normalize. A composite distribution of log-values is constructed by pooling all of these individual distributions. The mean and variance is then computed for a composite distribution.

Finally, a function is fitted to all of the computed variances, using the means of the log-values as the covariate. Note that the returned function accepts mean log-values as input, not the mean counts that were supplied in means. This means that the function is directly usable as a replacement for the trend returned by trendVar.

If x is set, pseudo.count is overridden by metadata(sce)$log.exprs.offset; size.factors is overridden by sizeFactors(sce) (or the column sums of counts(sce), if no size factors are specified); and means is automatically determined from the range of row averages of logcounts(sce) (after undoing the log-transformation).

If approx.npts is finite and less than length(size.factors), an approximate approach is used to construct the trend. We define approx.npts evenly spaced points (on the log-scale) between the smallest and largest size factors. Each point is treated as a proxy size factor and used to construct a count distribution as previously described. The expected log-count (and expected sum of squares) at each point is computed, and interpolation is used to obtain the corresponding values at the actual size factors. This avoids constructing separate distributions for each element of size.factors.

Value

A function accepting a mean log-expression as input and returning the variance of the log-expression as the output.

Author(s)

Aaron Lun

See Also

trendVar, normalize

Examples

means <- 1:100/10
out <- makeTechTrend(means)
curve(out(x), xlim=c(0, 5))
Correct for batch effects in single-cell expression data using the mutual nearest neighbors method.

Usage

```r
mnnCorrect(..., k=20, sigma=0.1, cos.norm.in=TRUE, cos.norm.out=TRUE,
            svd.dim=0L, var.adj=TRUE, compute.angle=FALSE, subset.row=NULL,
            order=NULL, pc.approx=FALSE, irlba.args=list(),
            BPPARAM=SerialParam())
```

Arguments

... Two or more expression matrices where genes correspond to rows and cells correspond to columns. Each matrix should contain cells from the same batch; multiple matrices represent separate batches of cells. Each matrix should contain the same number of rows, corresponding to the same genes (in the same order).

k An integer scalar specifying the number of nearest neighbors to consider when identifying mutual nearest neighbors.

sigma A numeric scalar specifying the bandwidth of the Gaussian smoothing kernel used to compute the correction vector for each cell.

cos.norm.in A logical scalar indicating whether cosine normalization should be performed on the input data prior to calculating distances between cells.

cos.norm.out A logical scalar indicating whether cosine normalization should be performed prior to computing corrected expression values.

svd.dim An integer scalar specifying the number of dimensions to use for summarizing biological substructure within each batch.

var.adj A logical scalar indicating whether variance adjustment should be performed on the correction vectors.

compute.angle A logical scalar specifying whether to calculate the angle between each cell’s correction vector and the biological subspace of the reference batch.

subset.row See `scran-gene-selection`.

order An integer vector specifying the order in which batches are to be corrected.

pc.approx A logical scalar indicating whether irlba should be used to identify the biological subspace.

irlba.args A list of arguments to pass to irlba when pc.approx=TRUE.

BPPARAM A BiocParallelParam object specifying whether the nearest-neighbor searches should be parallelized.
Details

This function is designed for batch correction of single-cell RNA-seq data where the batches are partially confounded with biological conditions of interest. It does so by identifying pairs of mutual nearest neighbors (MNN) in the high-dimensional expression space. Each MNN pair represents cells in different batches that are of the same cell type/state, assuming that batch effects are mostly orthogonal to the biological manifold. Correction vectors are calculated from the pairs of MNNs and corrected expression values are returned for use in clustering and dimensionality reduction.

The concept of a MNN pair can be explained by considering cells in each of two batches. For each cell in one batch, the set of $k$ nearest cells in the other batch is identified, based on the Euclidean distance in expression space. Two cells in different batches are considered to be MNNs if each cell is in the other’s set. The size of $k$ can be interpreted as the minimum size of a subpopulation in each batch. The algorithm is generally robust to the choice of $k$, though values that are too small will not yield enough MNN pairs, while values that are too large will ignore substructure within each batch.

For each MNN pair, a pairwise correction vector is computed based on the difference in the expression profiles. The correction vector for each cell is computed by applying a Gaussian smoothing kernel with bandwidth $\sigma$, which is the pairwise vectors. This stabilizes the vectors across many MNN pairs and extends the correction to those cells that do not have MNNs. The choice of $\sigma$ determines the extent of smoothing - a value of 0.1 is used by default, corresponding to 10% of the radius of the space after cosine normalization.

Value

A named list containing two components:

- `corrected`: A list of length equal to the number of batches, containing matrices of corrected expression values for each cell in each batch. The order of batches is the same as supplied in ..., and the order of cells in each matrix is also unchanged.

- `pairs`: A named list of length equal to the number of batches, containing DataFrames specifying the MNN pairs used for correction. Each row of the DataFrame defines a pair based on the cell in the current batch and another cell in an earlier batch. The identity of the other cell and batch are stored as run-length encodings to save space.

- `angles`: A named list of length equal to the number of batches, containing numeric vectors of angles. Each angle is computed between each cell's correction vector with the first two basis vectors of the first batch of cells (plus any previously corrected batches). This is only returned if `compute.angle=TRUE`.

Choosing the gene set

Distances between cells are calculated with all genes if `subset.row=NULL`. However, users can set `subset.row` to perform the distance calculation on a subset of genes, e.g., highly variable genes or marker genes. This may provide more meaningful identification of MNN pairs by reducing the noise from irrelevant genes.

Regardless of whether `subset.row` is specified, corrected values are returned for all genes. This is possible as `subset.row` is only used to identify the MNN pairs and other cell-based distance calculations. Correction vectors between MNN pairs can then be computed in the original space involving all genes in the supplied matrices.

Expected type of input data

The input expression values should generally be log-transformed, e.g., log-counts, see `normalize` for details. They should also be normalized within each data set to remove cell-specific biases in
capture efficiency and sequencing depth. By default, a further cosine normalization step is performed on the supplied expression data to eliminate gross scaling differences between data sets.

- When `cos.norm.in=TRUE`, cosine normalization is performed on the matrix of expression values used to compute distances between cells. This can be turned off when there are no scaling differences between data sets.
- When `cos.norm.out=TRUE`, cosine normalization is performed on the matrix of values used to calculate correction vectors (and on which those vectors are applied). This can be turned off to obtain corrected values on the log-scale, similar to the input data.

The cosine normalization is achieved using the `cosineNorm` function.

Users should note that the order in which batches are corrected will affect the final results. The first batch in order is used as the reference batch against which the second batch is corrected. Corrected values of the second batch are added to the reference batch, against which the third batch is corrected, and so on. This strategy maximizes the chance of detecting sufficient MNN pairs for stable calculation of correction vectors in subsequent batches.

Further options

The function depends on a shared biological manifold, i.e., one or more cell types/states being present in multiple batches. If this is not true, MNNs may be incorrectly identified, resulting in over-correction and removal of interesting biology. Some protection can be provided by removing components of the correction vectors that are parallel to the biological subspaces in each batch. The biological subspace in each batch is identified with a SVD on the expression matrix, using either `svd` or `irlba`. The number of dimensions of this subspace can be controlled with `svd.dim`. (By default, this option is turned off by setting `svd.dim=0`.)

If `var.adj=TRUE`, the function will adjust the correction vector to equalize the variances of the two data sets along the batch effect vector. In particular, it avoids “kissing” effects whereby MNN pairs are identified between the surfaces of point clouds from different batches. Naive correction would then bring only the surfaces into contact, rather than fully merging the clouds together. The adjustment ensures that the cells from the two batches are properly intermingled after correction. This is done by identifying each cell’s position on the correction vector, identifying corresponding quantiles between batches, and scaling the correction vector to ensure that the quantiles are matched after correction.

Author(s)

Laleh Haghverdi, with modifications by Aaron Lun

References


See Also

`queryKNN`, `irlba`, `cosineNorm`

Examples

```r
B1 <- matrix(rnorm(10000), ncol=50) # Batch 1
B2 <- matrix(rnorm(10000), ncol=50) # Batch 2
out <- mnnCorrect(B1, B2) # corrected values
```
multiBatchNorm

Per-batch scaling normalization

Description

Perform scaling normalization within each batch to provide comparable results to the lowest-coverage batch.

Usage

multiBatchNorm(..., assay.type="counts", norm.args=list(), min.mean=1, subset.row=NULL)

Arguments

... Two or more SingleCellExperiment objects containing counts and size factors. Each object is assumed to represent one batch.
assay.type A string specifying which assay values contains the counts.
norm.args A named list of further arguments to pass to normalize.
min.mean A numeric scalar specifying the minimum (library size-adjusted) average count of genes to be used for normalization.
subset.row See ?"scran-gene-selection".

Details

When performing integrative analyses of multiple batches, it is often the case that different batches have large differences in coverage. This function removes systematic differences in coverage across batches to simplify downstream comparisons. It does so by rescaling the size factors using median-based normalization on the ratio of the average counts between batches. This is roughly equivalent to the between-cluster normalization performed in computeSumFactors.

This function will adjust the size factors so that counts in high-coverage batches are scaled downwards to match the coverage of the most shallow batch. The normalize function will then add the same pseudo-count to all batches before log-transformation. By scaling downwards, we favour stronger squeezing of log-fold changes from the pseudo-count, mitigating any technical differences in variance between batches. Of course, genuine biological differences will also be shrunk, but this is less of an issue for upregulated genes with large counts.

Running this function is preferred over running normalize directly when computing log-normalized values for use in mnnCorrect or fastMNN. This is because, in most cases, size factors will be computed within each batch; their direct application in normalize will not account for scaling differences between batches. In contrast, multiBatchNorm will rescale the size factors so that they are comparable across batches.

If spike-in transcripts are present, these should be the same across all batches. Spike-in size factors are rescaled separately from those of the endogenous genes, to reflect differences in spike-in quantities across batches. Conversely, spike-in transcripts are not used to compute the rescaling factors for endogenous genes.

Users can set subset.row to restrict the set of genes used for computing the rescaling factors. However, this only affects the rescaling of the size factors - normalized values for all genes will still be returned.
**Value**

A list of SingleCellExperiment objects with normalized log-expression values in the "logcounts" assay (depending on values in norm.args).

**Author(s)**

Aaron Lun

**See Also**

normalize, mnnCorrect, fastMNN

**Examples**

```r
d1 <- matrix(rnbinom(50000, mu=10, size=1), ncol=100)
sce1 <- SingleCellExperiment(list(counts=d1))
sizeFactors(sce1) <- runif(ncol(d1))

d2 <- matrix(rnbinom(20000, mu=50, size=1), ncol=40)
sce2 <- SingleCellExperiment(list(counts=d2))
sizeFactors(sce2) <- runif(ncol(d2))

out <- multiBatchNorm(sce1, sce2)
summary(sizeFactors(out[[1]]))
summary(sizeFactors(out[[2]]))
```

---

**multiBatchPCA**

**Multi-batch PCA**

**Description**

Perform a PCA across multiple gene expression matrices to project all cells to a common low-dimensional space.

**Usage**

```r
multiBatchPCA(..., d=50, approximate=FALSE, irlba.args=list(),
subset.row=NULL, assay.type="logcounts", get.spikes=FALSE,
BPPARAM=SerialParam())
```

**Arguments**

| ... | Two or more matrices containing expression values (usually log-normalized). Each matrix is assumed to represent one batch. Alternatively, two or more SingleCellExperiment objects containing these matrices. |
| d | An integer scalar specifying the number of dimensions to keep from the initial multi-sample PCA. |
| approximate | A logical scalar indicating whether irlba should be used to perform the initial PCA. |
| irlba.args | A list of arguments to pass to irlba when approximate=TRUE. |
| subset.row | See ?"scran-gene-selection". |
multiBatchPCA

assay.type A string or integer scalar specifying the assay containing the expression values, if SingleCellExperiment objects are present in . . .

get.spikes See ?"scran-gene-selection".

BPPARAM A BiocParallelParam object specifying whether the SVD should be parallelized.

Details

This function is roughly equivalent to cbinding all matrices in . . . and performing PCA on the merged matrix. The difference (aside from greater computational efficiency) is that each sample contributes equally to the identification of the loading vectors. Specifically, the mean vector used for centering is defined as the grand mean of the mean vectors within each batch. Each batch’s contribution to the gene-gene covariance matrix is also divided by the number of cells.

In effect, we weight the cells in each batch to mimic the situation where all batches have the same number of cells. This avoids ensures that the variance due to unique subpopulations in smaller batches can be captured. Otherwise, batches with a large number of cells would dominate the PCA; the mean vector and covariance matrix would be almost fully defined by those batches.

If . . . contains SingleCellExperiment objects, any spike-in transcripts should be the same across all batches. These will be removed prior to PCA unless get.spikes=TRUE, see ?"scran-gene-selection" for details.

Value

A List of numeric matrices where each matrix corresponds to a batch and contains the first d PCs (columns) for all cells in the batch (rows).

The metadata also contains a matrix of rotation vectors, which can be used to construct a low-rank approximation of the input matrices.

Author(s)

Aaron Lun

See Also

fastMNN

Examples

d1 <- matrix(rnorm(5000), ncol=100)
d1[1:10,1:10] <- d1[1:10,1:10] + 2 # unique population in d1
d2 <- matrix(rnorm(2000), ncol=40)

out <- multiBatchPCA(d1, d2)

xlim <- range(c(out[[1]][,1], out[[2]][,1]))
ylim <- range(c(out[[1]][,2], out[[2]][,2]))
plot(out[[1]][,1], out[[1]][,2], col="red", xlim=xlim, ylim=ylim)
points(out[[2]][,1], out[[2]][,2], col="blue")
**multiBlockNorm**

Per-block scaling normalization

**Description**

Perform scaling normalization within each block in a manner that preserves the relative scale of counts between spike-in transcripts and endogenous genes.

**Usage**

```r
multiBlockNorm(x, block, ...)
```

**Arguments**

- `x`: A SingleCellExperiment object containing counts and size factors.
- `block`: A factor specifying the blocking level for each cell in `x`.
- `...`: Further arguments to pass to `normalize`.

**Details**

When comparing spike-in and endogenous variances, the assumption is that a spike-in transcript is comparable to an endogenous gene with similar magnitudes of the counts. This is motivated by the mean-variance relationship in Poisson and other count-based models. Thus, we want to ensure that the (relative) average abundances after normalization reflect the similarity in the average count between spike-in transcripts and endogenous genes. This is usually achieved by centering all sets of size factors so that the normalization does not systematically alter the mean between spike-ins and endogenous genes. Indeed, this is the default mode of operation in `normalize`.

However, centering across all cells is not appropriate when `block` contains multiple levels and we want to fit trends within each level (see `multiBlockVar`). In such cases, we want size factors to be centered within each level, which is not guaranteed by global centering. To overcome this, we adjust the spike-in size factors so that the mean within each level of the blocking factor is the same as that of the endogenous size factors for that level. This avoids cases where spike-in abundances are systematically shifted up or down relative to the abundances of the endogenous genes (e.g., due to addition of different spike-in quantities across blocks).

In all cases, the outcome of normalization for endogenous genes is guaranteed to be the same as that from `normalize`. Only the size factors and normalized values for spike-in transcripts will be different when using this function. This ensures that comparisons of gene-level expression profiles between cells (e.g., during clustering or dimensionality reduction) are not altered.

**Value**

A SingleCellExperiment with normalized log-expression values in the "logcounts" slot (depending on the arguments to `normalize`.

**Author(s)**

Aaron Lun

**See Also**

`normalize`, `multiBlockVar`
Examples

```r
example(computeSpikeFactors)  # Using the mocked-up data 'y' from this example.

# Normalizing (gene-based factors for genes, spike-in factors for spike-ins)
y <- computeSumFactors(y)
y <- computeSpikeFactors(y, general.use=FALSE)

# Setting up the blocking levels.
block <- sample(3, ncol(y), replace=TRUE)
y <- multiBlockNorm(y, block)
assayNames(y)
```

---

**multiBlockVar**  
*Per-block variance statistics*

**Description**

Fit a mean-dependent trend to the per-gene variances for each blocking level, and decompose them to biological and technical components.

**Usage**

```r
multiBlockVar(x, block, trend.args=list(), dec.args=list(),
  assay.type="logcounts", ...)
```

**Arguments**

- `x`  
  A SingleCellExperiment object containing log-normalized expression values, computed with `multiBlockNorm`.

- `block`  
  A factor specifying the blocking level for each cell in `x`.

- `trend.args`  
  A list of named arguments to pass to `trendVar`.

- `dec.args`  
  A list of named arguments to pass to `decomposeVar`.

- `assay.type`  
  A string or integer scalar specifying the assay in `x` to use for all calculations.

- `...`  
  Additional arguments to pass to `combineVar`.

**Details**

This function models the variance of expression in each level of `block` separately. Each subset of cells is passed to `trendVar` to fit a block-specific trend, and then passed to `decomposeVar` to obtain block-specific biological and technical components. Results are consolidated across blocks using the `combineVar` function. The aim is to enable users to handle differences in the mean-variance relationship across, e.g., different experimental batches.

We assume that the size factors for the endogenous genes have the same mean as the size factors for the spike-ins within each block. This ensures that the spike-in normalized values are comparable to those of the endogenous genes. Centering should be performed by running `multiBlockNorm` before calling this function. Otherwise, a warning will be raised about non-centered size factors.
Value

A DataFrame is returned containing all components returned by `combineVar`, in addition to a `per.block` column. This additional column is a DataFrame containing nested DataFrames, each containing a result of `decomposeVar` for the corresponding level of `block`. The trend function from `trendVar` is also stored as trend in the metadata of the per-block nested DataFrames.

Author(s)

Aaron Lun

References


See Also

trendVar, decomposeVar, combineVar, multiBlockNorm

Examples

```r
example(computeSpikeFactors) # Using the mocked-up data 'y' from this example.

# Normalizing (gene-based factors for genes, spike-in factors for spike-ins)
y <- computeSumFactors(y)
y <- computeSpikeFactors(y, general.use=FALSE)

# Setting up the blocking levels.
block <- sample(3, ncol(y), replace=TRUE)
y <- multiBlockNorm(y, block)
out <- multiBlockVar(y, block=block)

# Creating block-level plots.
par(mfrow=c(1,3))
is.spike <- isSpike(y)
for (x in as.character(1:3)) {
  current <- out$per.block[[x]]
  plot(current$mean, current$total, col="black", pch=16)
  points(current$mean[is.spike], current$total[is.spike], col="red", pch=16)
  curve(metadata(current)$trend(x), col="dodgerblue", lwd=2, add=TRUE)
}
```

---

**overlapExprs**

*Overlap expression profiles*

**Description**

Compute the gene-specific overlap in expression profiles between two groups of cells.
overlapExprs

Usage

## S4 method for signature 'ANY'
overlapExprs(x, groups, gene.names=rownames(x), block=NULL, 
 pval.type=c("any", "all"), direction=c("any", "up", "down"), tol=1e-8, 
 log.p=FALSE, full.stats=FALSE, subset.row=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
overlapExprs(x, ..., subset.row=NULL, assay.type="logcounts", 
 get.spikes=FALSE)

Arguments

- **x**: A numeric matrix of expression values, where each column corresponds to a cell and each row corresponds to an endogenous gene. Alternatively, a SingleCellExperiment object containing such a matrix.
- **groups**: A vector of group assignments for all cells.
- **gene.names**: A character vector of gene names with one value for each row of x.
- **block**: A factor specifying the blocking level for each cell.
- **pval.type**: A string specifying the type of combined p-value to be computed, i.e., Simes’ or IUT.
- **direction**: A string specifying which direction of change in expression should be used to rank genes in the output.
- **tol**: A numeric scalar specifying the tolerance with which ties are considered.
- **log.p**: A logical scalar indicating if log-transformed p-values/FDRs should be returned.
- **full.stats**: A logical scalar indicating whether all statistics (i.e., raw and BH-adjusted p-values) should be returned for each pairwise comparison.
- **subset.row**: See ?"scran-gene-selection".
- **BPPARAM**: A BiocParallelParam object to use in bplapply for parallel processing.
- **...**: Additional arguments to pass to the matrix method.
- **assay.type**: A string specifying which assay values to use, e.g., "counts" or "logcounts".
- **get.spikes**: See ?"scran-gene-selection".

Details

This function provides a convenience wrapper for marker gene identification, based on running pairwiseTTests and passing the result to combineMarkers. All of the arguments above are supplied directly to one of these two functions.

Note that log.p only affects the combined p-values and FDRs. If full.stats=TRUE, the p-values for each pairwise comparison will be log-transformed regardless of the value of log.p.

Value

A named list of DataFrames, each of which contains a sorted marker gene list for the corresponding cluster. See ?combineMarkers for more details on the output format.

See Also

See pairwiseWilcox and combineMarkers for the component functions.
See findMarkers for the equivalent function using t-tests.
Examples

# Using the mocked-up data 'y2' from this example.
example(computeSpikeFactors)
y2 <- normalize(y2)
groups <- sample(3, ncol(y2), replace=TRUE)
out <- overlapExprs(y2, groups, subset.row=1:10)

pairwiseTTests Perform pairwise t-tests

Description
Perform pairwise Welch t-tests between groups of cells, possibly after blocking on uninteresting factors of variation.

Usage

pairwiseTTests(x, clusters, block=NULL, design=NULL,
direction=c("any", "up", "down"), lfc=0, log.p=FALSE,
gene.names=rownames(x), subset.row=NULL, BPPARAM=SerialParam())

Arguments

x A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to an endogenous gene.
clusters A vector of cluster identities for all cells.
block A factor specifying the blocking level for each cell.
design A numeric matrix containing blocking terms for uninteresting factors. Note that these should not be confounded with clusters or contain an intercept, see Details.
direction A string specifying the direction of log-fold changes to be considered for each cluster.
lfc A positive numeric scalar specifying the log-fold change threshold to be tested against.
log.p A logical scalar indicating if log-transformed p-values/FDRs should be returned.
gene.names A character vector of gene names with one value for each row of x.
subset.row See "scran-gene-selection".
BPPARAM A BiocParallelParam object indicating whether and how parallelization should be performed across genes.

Details
This function performs t-tests to identify differentially expressed genes (DEGs) between pairs of clusters. A list of tables is returned where each table contains the statistics for all genes for a comparison between each pair of clusters. This can be examined directly or used as input to combineMarkers for marker gene detection.

By default, this function will perform a Welch t-test to identify DEGs between each pair of clusters. This is simple, fast and performs quite well for single-cell count data (Soneson and Robinson,
However, if one of the clusters contains fewer than two cells, no p-value will be reported for this comparison.

If block is specified, the same t-tests are performed between clusters within each level of block. For each pair of clusters, the p-values for each gene across all levels of block are combined using Stouffer’s Z-score method. The p-value for each level is assigned a weight inversely proportional to the expected variance of the log-fold change estimate for that level. Blocking levels are ignored if no p-value was reported, e.g., if there were insufficient cells for a cluster in a particular level.

If design is specified, a linear model is instead fitted to the expression profile for each gene. This linear model will include the clusters as well as any blocking factors in design. A t-test is then performed to identify DEGs between pairs of clusters, using the values of the relevant coefficients and the gene-wise residual variance. Note that design must be full rank when combined with the clusters terms, i.e., there should not be any confounding variables. Similarly, any intercept column should be removed beforehand.

Note that block will override any design if both are specified. This reflects our preference for the former, which accommodates differences in the variance of expression in each cluster via Welch’s t-test. As a result, it is more robust to misspecification of the clusters, as misspecified clusters (and inflated variances) do not affect the inferences for other clusters. Use of block also avoids assuming additivity of effects between the blocking factors and the cluster identities.

Nonetheless, use of design is unavoidable when blocking on real-valued covariates. It is also useful for ensuring that log-fold changes/p-values are computed for comparisons between all pairs of clusters (assuming that design is not confounded with the cluster identities). This may not be the case with block if a pair of clusters never co-occur in a single blocking level.

Value

A list is returned containing statistics, a list of DataFrames where each element contains the statistics for a comparison between a pair of clusters; and pairs, a DataFrame specifying the identity of pairs being compared in each entry of statistics.

In each DataFrame of statistics, the log-fold change represents the change in the first cluster compared to the second cluster. Note that the order of clusters will affect the sign of the log-fold change and, when direction!="any", the size of the p-value itself.

Direction and magnitude of the log-fold change

If direction="any", two-sided tests will be performed for each pairwise comparisons between clusters. Otherwise, one-sided tests in the specified direction will be used to compute p-values for each gene. This can be used to focus on genes that are upregulated in each cluster of interest, which is often easier to interpret.

To interpret the setting of direction, consider the DataFrame for cluster X, in which we are comparing to another cluster Y. If direction="up", genes will only be significant in this DataFrame if they are upregulated in cluster X compared to Y. If direction="down", genes will only be significant if they are downregulated in cluster X compared to Y.

The magnitude of the log-fold changes can also be tested by setting lfc. By default, lfc=0 meaning that we will reject the null upon detecting any differential expression. If this is set to some other positive value, the null hypothesis will change depending on direction:

- If direction="any", the null hypothesis is that the true log-fold change is either -lfc or lfc with equal probability. A two-sided p-value is computed against this composite null.
- If direction="up", the null hypothesis is that the true log-fold change is lfc, and a one-sided p-value is computed.
pairwiseTTests

- If direction="down", the null hypothesis is that the true log-fold change is -lfc, and a one-sided p-value is computed.

This is similar to the approach used in treat and allows users to focus on genes with strong log-fold changes.

Weighting across blocking levels

When block is specified, the weight for the p-value in a particular level is defined as \((1/N_x + 1/N_y)^{-1}\), where \(N_x\) and \(N_y\) are the number of cells in clusters X and Y, respectively, for that level. This is inversely proportional to the expected variance of the log-fold change, provided that all clusters and blocking levels have the same variance.

In theory, a better weighting scheme would be to use the estimated standard error of the log-fold change to compute the weight. This would be more responsive to differences in variance between blocking levels, focusing on levels with low variance and high power. However, this is not safe in practice as genes with many zeroes can have very low standard errors, dominating the results inappropriately.

Like the p-values, the reported log-fold change for each gene is a weighted average of log-fold changes from all levels of the blocking factor. The weight for each log-fold change is inversely proportional to the expected variance of the log-fold change in that level. Unlike p-values, though, this calculation will use blocking levels where both clusters contain only one cell.

Author(s)

Aaron Lun

References


Examples

# Using the mocked-up data 'y2' from this example.
ex

e

y2 <- normalize(y2)
kout <- kmeans(t(logcounts(y2)), centers=2) # Any clustering method is okay.

# Vanilla application:

out <- pairwiseTTests(logcounts(y2), clusters=kout$cluster)

out

# Directional with log-fold change threshold:

out <- pairwiseTTests(logcounts(y2), clusters=kout$cluster, direction="up", lfc=0.2)

out
pairwiseWilcox

Perform pairwise Wilcoxon rank sum tests

Description

Perform pairwise Wilcoxon rank sum tests between groups of cells, possibly after blocking on uninteresting factors of variation.

Usage

```r
pairwiseWilcox(x, clusters, block=NULL, direction=c("any", "up", "down"),
               log.p=FALSE, gene.names=rownames(x), subset.row=NULL, tol=1e-8,
               BPPARAM=SerialParam())
```

Arguments

- `x`: A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to an endogenous gene.
- `clusters`: A vector of cluster identities for all cells.
- `block`: A factor specifying the blocking level for each cell.
- `direction`: A string specifying the direction of effects to be considered for each cluster.
- `log.p`: A logical scalar indicating if log-transformed p-values/FDRs should be returned.
- `gene.names`: A character vector of gene names with one value for each row of `x`.
- `subset.row`: See ?"scran-gene-selection".
- `tol`: Numeric scalar specifying the tolerance for tied values when `x` is numeric.
- `BPPARAM`: A BiocParallelParam object indicating whether and how parallelization should be performed across genes.

Details

This function performs Wilcoxon rank sum tests to identify differentially expressed genes (DEGs) between pairs of clusters. A list of tables is returned where each table contains the statistics for all genes for a comparison between each pair of clusters. This can be examined directly or used as input to `combineMarkers` for marker gene detection.

Effect sizes are computed as overlap proportions. Consider the distribution of expression values for gene X within each of two groups of cells A and B. The overlap proportion is defined as the probability that a randomly selected cell in A has a greater expression value of X than a randomly selected cell in B. Overlap proportions near 0 (A is lower than B) or 1 (A is higher than B) indicate that the expression distributions are well-separated. The Wilcoxon rank sum test effectively tests for significant deviations from an overlap proportion of 0.5.

Wilcoxon rank sum tests are more robust to outliers and insensitive to non-normality, in contrast to t-tests in `pairwiseTTests`. However, they take longer to run, the effect sizes are less interpretable, and there are more subtle violations of its assumptions in real data. For example, the i.i.d. assumptions are unlikely to hold after scaling normalization due to differences in variance. Also note that we approximate the distribution of the Wilcoxon rank sum statistic to deal with large numbers of cells and ties.
pairwiseWilcox

Value

A list is returned containing statistics, a list of DataFrames where each element contains the statistics for a comparison between a pair of clusters; and pairs, a DataFrame specifying the identity of pairs being compared in each entry of statistics.

In each DataFrame, the overlap proportion represents the probability of sampling a value in the first cluster greater than a random value from the second cluster. Note that the order of clusters will affect the value of the overlap and, when direction="any", the size of the p-value itself.

Blocking on uninteresting factors

If block is specified, the same t-tests are performed between clusters within each level of block. For each pair of clusters, the p-values for each gene across all levels of block are combined using Stouffer’s Z-score method. The p-value for each level is assigned a weight inversely proportional to the expected variance of the log-fold change estimate for that level. Blocking levels are ignored if no p-value was reported, e.g., if there were insufficient cells for a cluster in a particular level.

The weight for the p-value in a particular level of block is defined as \(N_x N_y\), where \(N_x\) and \(N_y\) are the number of cells in clusters X and Y, respectively, for that level. This means that p-values from blocks with more cells will have a greater contribution to the combined p-value for each gene.

Direction of the effect

If direction="any", two-sided Wilcoxon rank sum tests will be performed for each pairwise comparisons between clusters. Otherwise, one-sided tests in the specified direction will be used to compute p-values for each gene. This can be used to focus on genes that are upregulated in each cluster of interest, which is often easier to interpret.

To interpret the setting of direction, consider the DataFrame for cluster X, in which we are comparing to another cluster Y. If direction="up", genes will only be significant in this DataFrame if they are upregulated in cluster X compared to Y. If direction="down", genes will only be significant if they are downregulated in cluster X compared to Y. See ?wilcox.test for more details on the interpretation of one-sided Wilcoxon rank sum tests.

Author(s)

Aaron Lun

References


Examples

# Using the mocked-up data 'y2' from this example.
example(computeSpikeFactors)
y2 <- normalize(y2)
kout <- kmeans(t(logcounts(y2)), centers=2) # Any clustering method is okay.

# Vanilla application:
out <- pairwiseWilcox(logcounts(y2), clusters=kout$cluster)
out
# Directional:
out <- pairwiseWilcox(logcounts(y2), clusters=kout$cluster, direction="up")
out

## Parallel analysis for PCA

### Description
Perform a parallel analysis to choose the number of principal components.

### Usage
```r
## S4 method for signature 'ANY'
parallelPCA(x, subset.row=NULL, value=c("pca", "n", "lowrank"),
  min.rank=5, max.rank=100, niter=50, threshold=0.1, approximate=FALSE,
  irlba.args=list(), BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
parallelPCA(x, ..., subset.row=NULL,
  value=c("pca", "n", "lowrank"), assay.type="logcounts",
  get.spikes=FALSE, sce.out=TRUE)
```

### Arguments
- **x**: A numeric matrix of log-expression values for `parallelPCA`, `ANY-method`, or a `SingleCellExperiment` object containing such values for `parallelPCA`, `SingleCellExperiment-method`.
- **subset.row**: See ?"scran-gene-selection".
- **value**: A string specifying the type of value to return; the PCs, the number of retained components, or a low-rank approximation.
- **min.rank, max.rank**: Integer scalars specifying the minimum and maximum number of PCs to retain.
- **niter**: Integer scalar specifying the number of iterations to use for the parallel analysis.
- **threshold**: Numeric scalar representing the “p-value” threshold above which PCs are to be ignored.
- **approximate**: A logical scalar indicating whether approximate SVD should be performed via `irlba`.
- **irlba.args**: A named list of additional arguments to pass to `irlba` when `approximate=TRUE`.
- **BPPARAM**: A BiocParallelParam object.
- **...**: Further arguments to pass to `denoisePCA`, `ANY-method`.
- **assay.type**: A string specifying which assay values to use.
- **get.spikes**: See ?"scran-gene-selection".
- **sce.out**: A logical scalar specifying whether a modified `SingleCellExperiment` object should be returned.
Details

This function performs Horn’s parallel analysis to decide how many PCs to retain in a principal components analysis. Parallel analysis involves permuting the expression vector for each gene and repeating the PCA to obtain the fractions of variance explained under a random null model. The number of PCs to retain is determined by the intersection of the “fraction explained” lines on a scree plot. This is justified as discarding PCs that explain less variance than would be expected under a random model.

In practice, we discard all PCs from the first PC that has a fraction explained similar to that under the null. A PC is considered similar if the permuted fractions exceed the observed fraction in more than threshold of iterations. (For want of a better word, we have described this as a “p-value” threshold, though it is not interpretable as a measure of significance.) This is a more conservative criterion than discarding PCs with fractions below the average null fraction, which tends to overstate the rank in noisy datasets. Note that the number of PCs will be coerced to lie between min.rank and max.rank.

This function can be sped up by specifying approximate=TRUE, which will use approximate strategies for performing the PCA. Another option is to set BPPARAM to perform the iterations in parallel.

Value

For parallelPCA, ANY-method, a numeric matrix is returned containing the selected PCs (columns) for all cells (rows) if value="pca". If value="n", it will return an integer scalar specifying the number of retained components. If value="lowrank", it will return a low-rank approximation of x with the same dimensions.

For parallelPCA, SingleCellExperiment-method, the return value is the same as parallelPCA, ANY-method if sce.out=FALSE or value="n". Otherwise, a SingleCellExperiment object is returned that is a modified version of x. If value="pca", the modified object will contain the PCs as the "PCA" entry in the reducedDims slot. If value="lowrank", it will return a low-rank approximation in assays slot, named "lowrank".

In all cases, the fractions of variance explained by the first max.rank PCs will be stored as the "percentVar" attribute in the return value. Fractions of variance explained by these PCs after each permutation iteration are also recorded as a matrix in "permutedPercentVar".

Author(s)

Aaron Lun

References


See Also
denoisePCA

Examples

# Mocking up some data.
ngenes <- 1000
means <- 2*runif(ngenes, 6, 10)
dispersions <- 10/means + 0.2
nsamples <- 50
Quick clustering

counts <- matrix(rnbinom(ngenes*nsamples, mu=means, size=1/dispersions), ncol=nsamples)

# Choosing the number of PCs
lcounts <- log2(counts + 1)
parallelPCA(lcounts, min.rank=0, value="n")

Quick clustering of cells

Description

Cluster similar cells based on rank correlations in their gene expression profiles.

Usage

```r
# S4 method for signature 'ANY'
quickCluster(x, min.size=200, max.size=NULL, method=c("hclust", "igraph"),
            pc.approx=TRUE, get.ranks=FALSE, subset.row=NULL, min.mean=1, block=NULL, block.BPPARAM=SerialParam(), ...)

# S4 method for signature 'SingleCellExperiment'
quickCluster(x, subset.row=NULL, ..., assay.type="counts", get.spikes=FALSE)
```

Arguments

- `x` A numeric count matrix where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.
- `min.size` An integer scalar specifying the minimum size of each cluster.
- `max.size` An integer scalar specifying the maximum size of each cluster.
- `get.ranks` Deprecated, a logical scalar specifying whether a matrix of adjusted ranks should be returned. Use `scaledColRanks` directly instead.
- `method` A string specifying the clustering method to use.
- `pc.approx` Argument passed to `buildSNNGraph` when method="igraph", otherwise ignored.
- `subset.row` See ?"scran-gene-selection".
- `min.mean` A numeric scalar specifying the filter to be applied on the average count for each filter prior to computing ranks. Disabled by setting to NULL.
- `block` A factor of length equal to ncol(x) specifying whether clustering should be performed within pre-specified blocks. By default, all columns in x are treated as a single block.
- `block.BPPARAM` A BiocParallelParam object specifying whether and how parallelization should be performed across blocks, if block is non-NULL and has more than one level.
- `assay.type` A string specifying which assay values to use, e.g., "counts" or "logcounts".
- `get.spikes` See ?"scran-gene-selection".
Quick clustering

Details

This function provides a correlation-based approach to quickly define clusters of a minimum size \textit{min.size}. Two clustering strategies are available:

- If \texttt{method="hclust"}, a distance matrix is constructed using Spearman’s rho on the counts between cells. (Some manipulation is performed to convert Spearman’s rho into a proper distance metric.) Hierarchical clustering is performed and a dynamic tree cut is used to define clusters of cells.

- If \texttt{method="igraph"}, a shared nearest neighbor graph is constructed using the \texttt{buildSNNGraph} function. This is used to define clusters based on highly connected communities in the graph, using the \texttt{cluster_fast_greedy} function. Again, neighbors are identified using distances based on Spearman’s rho. This should be used in situations where there are too many cells to build a distance matrix.

A correlation-based approach is preferred here as it is invariant to scaling normalization. This avoids circularity between normalization and clustering, e.g., in \texttt{computeSumFactors}.

Value

If \texttt{get.ranks=FALSE}, a character vector of cluster identities for each cell in \texttt{counts} is returned.

If \texttt{get.ranks=TRUE}, a numeric matrix is returned where each column contains ranks for the expression values in each cell.

Enforcing cluster sizes

With \texttt{method="hclust"}, \texttt{cutreeDynamic} is used to ensure that all clusters contain a minimum number of cells. However, some cells may not be assigned to any cluster and are assigned identities of “0” in the output vector. In most cases, this is because those cells belong in a separate cluster with fewer than \textit{min.size} cells. The function will not be able to call this as a cluster as the minimum threshold on the number of cells has not been passed. Users are advised to check that the unassigned cells do indeed form their own cluster. Otherwise, it may be necessary to use a different clustering algorithm.

When using \texttt{method="igraph"}, clusters are first identified using \texttt{cluster_fast_greedy}. If the smallest cluster contains fewer cells than \textit{min.size}, it is merged with the closest neighbouring cluster. In particular, the function will attempt to merge the smallest cluster with each other cluster. The merge that maximizes the modularity score is selected, and a new merged cluster is formed. This process is repeated until all (merged) clusters are larger than \textit{min.size}.

Gene selection

Spike-in transcripts are not used by default as they provide little information on the biological similarities between cells. This may not be the case if subpopulations differ by total RNA content, in which case setting \texttt{get.spikes=TRUE} may provide more discriminative power.

By default, the function will also filter out genes with average counts (as defined by \texttt{calcAverage}) below \textit{min.mean}. This removes low-abundance genes with many tied ranks, especially due to zeros, which may reduce the precision of the clustering. We suggest setting \texttt{min.mean} to 1 for read count data and 0.1 for UMI data. This can be disabled completely by setting it to \texttt{NULL}.

Any filtering on \textit{min.mean} is intersected with \texttt{get.spikes=FALSE} and/or a specified \texttt{subset.row}. For example, only non-spike-in genes that pass the filter are retained if \texttt{get.spikes=TRUE}.
Obtaining the scaled and centred ranks

Users can also set get.ranks=TRUE, in which case a matrix of ranks will be returned. Each column contains the ranks for the expression values within a single cell after standardization and mean-centring. Computing Euclidean distances between the rank vectors for pairs of cells will yield the same correlation-based distance as that used above. This allows users to apply their own clustering algorithms on the ranks, which protects against outliers and is invariant to scaling (at the cost of sensitivity).

Author(s)

Aaron Lun and Karsten Bach

References


Lun ATL, Bach K and Marioni JC (2016). Pooling across cells to normalize single-cell RNA sequencing data with many zero counts. Genome Biol. 17:75

See Also

cutreeDynamic, computeSumFactors, buildSNNGraph

Examples

```r
set.seed(100)
popsize <- 200
ngen <- 1000
all.facs <- 2*rnorm(popsize, sd=0.5)
counts <- matrix(rnbinom(nenes*popsize, mu=all.facs, size=1), ncol=popsize, byrow=TRUE)
clusters <- quickCluster(counts, min.size=20)
clusters <- quickCluster(counts, method="igraph")
```

sandbag  

Cell cycle phase training

Description

Use gene expression data to train a classifier for cell cycle phase.

Usage

```r
## S4 method for signature 'ANY'
sandbag(x, phases, gene.names=rownames(x),
   fraction=0.5, subset.row=NULL)

## S4 method for signature 'SingleCellExperiment'
sandbag(x, phases, subset.row=NULL, ...,
   assay.type="counts", get.spikes=FALSE)
```
Arguments

- **x**: A numeric matrix of gene expression values where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.
- **phases**: A list of subsetting vectors specifying which cells are in each phase of the cell cycle. This should typically be of length 3, with elements named as "G1", "S" and "G2M".
- **gene.names**: A character vector of gene names.
- **fraction**: A numeric scalar specifying the minimum fraction to define a marker gene pair.
- **subset.row**: See ?"scran-gene-selection".
- **assay.type**: A string specifying which assay values to use, e.g., "counts" or "logcounts".
- **get.spikes**: See ?"scran-gene-selection".

Details

This function implements the training step of the pair-based prediction method described by Scialdone et al. (2015). Pairs of genes (A, B) are identified from a training data set where in each pair, the fraction of cells in phase G1 with expression of A > B (based on expression values in training.data) and the fraction with B > A in each other phase exceeds fraction. These pairs are defined as the marker pairs for G1. This is repeated for each phase to obtain a separate marker pair set.

Pre-defined sets of marker pairs are provided for mouse and human (see Examples). The mouse set was generated as described by Scialdone et al. (2015), while the human training set was generated with data from Leng et al. (2015). Classification from test data can be performed using the cyclone function. For each cell, this involves comparing expression values between genes in each marker pair. The cell is then assigned to the phase that is consistent with the direction of the difference in expression in the majority of pairs.

By default, get.spikes=FALSE which means that any rows corresponding to spike-in transcripts will not be considered when picking markers. This is because the amount of spike-in RNA added will vary between experiments and will not be a robust predictor. Nonetheless, if all rows are required, users can set get.spikes=TRUE.

While sandbag and its partner function cyclone were originally designed for cell cyclone phase classification, the same computational strategy can be used to classify cells into any mutually exclusive groupings. Any number and nature of groups can be specified in phases, e.g., differentiation lineages, activation states. Only the names of phases need to be modified to reflect the biology being studied.

Value

A named list of data.frames, where each data frame corresponds to a cell cycle phase and contains the names of the genes in each marker pair.

Author(s)

Antonio Scialdone, with modifications by Aaron Lun
Scaled column ranks

Compute scaled column ranks from each cell's expression profile for distance calculations based on rank correlations.

Usage

```r
scaledColRanks(x, subset.row=NULL, min.mean=NULL, transposed=FALSE, as.sparse=FALSE, withDimnames=TRUE)
```

Arguments

- `x`: A matrix or matrix-like object containing cells in columns and features in the rows.
- `subset.row`: A logical, integer or character scalar indicating the rows of `x` to use.
- `min.mean`: A numeric scalar specifying the filter to be applied on the average count for each feature prior to computing ranks. Disabled by setting to `NULL`.
- `transposed`: A logical scalar specifying whether the output should be transposed.
- `as.sparse`: A logical scalar indicating whether the output should be sparse.
- `withDimnames`: A logical scalar specifying whether the output should contain the dimnames of `x`.

References


See Also

cyclone

Examples

```r
ncells <- 50
genesis <- 20
training <- matrix(rnorm(ncells*genesis), ncol=ncells)
rownames(training) <- paste0("X", seq_len(genesis))

is.G1 <- 1:20
is.S <- 21:30
is.G2M <- 31:50
out <- sandbag(training, list(G1=is.G1, S=is.S, G2M=is.G2M))
str(out)

# Getting pre-trained marker sets
mm.pairs <- readRDS(system.file("exdata", "mouse_cycle_markers.rds", package="scran"))
hs.pairs <- readRDS(system.file("exdata", "human_cycle_markers.rds", package="scran"))
```
**simpleSumFactors**

**Details**

Euclidean distances computed based on the output rank matrix are equivalent to distances computed from Spearman’s rank correlation. This can be used in clustering, nearest-neighbour searches, etc. as a robust alternative to Euclidean distances computed directly from x.

If `as.sparse=TRUE`, the most common average rank is set to zero in the output. This can be useful for highly sparse input data where zeroes have the same rank and are themselves returned as zeroes. Obviously, this means that the ranks are not centred, so this will have to be done manually prior to any downstream distance calculations.

**Value**

A matrix of the same dimensions as x, where each column contains the centred and scaled ranks of the expression values for each cell. If `transposed=TRUE`, this matrix is transposed so that rows correspond to cells.

**Author(s)**

Aaron Lun

**See Also**

quickCluster

**Examples**

```r
set.seed(100)
popsiz <- 200
ngen <- 100
all.facs <- 2*rnorm(popsiz, sd=0.5)
counts <- matrix(rnbinom(ngen*popsiz, mu=all.facs, size=1), ncol=popsiz, byrow=TRUE)

rout <- scaledColRanks(counts, transposed=TRUE)

# For use in clustering:
d <- dist(rout)
table(cutree(hclust(d), 4))
g <- buildSNNGraph(rout, transposed=TRUE)
table(igraph::cluster_walktrap(g)$membership)
```

---

**Description**

Perform a simple calculation of cell-specific size factors based on summation and normalization against nearest neighbors.
### Usage

```r
## S4 method for signature 'ANY'
simpleSumFactors(x, k=20, ref.k=k, trend.args=list(), approximate=FALSE,
                   irlba.args=list(), subset.row=NULL, min.mean=1, block=NULL, ref.block=NULL,
                   BNPARAM=NULL, BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
simpleSumFactors(x, ..., subset.row=NULL, assay.type="counts",
                   get.spikes=FALSE, sf.out=FALSE)
```

### Arguments

- **x**: A numeric matrix-like object of counts, where rows are genes and columns are cells. Alternatively, a SingleCellExperiment object containing such a matrix.
- **k**: Integer scalar specifying the number of nearest neighbors.
- **ref.k**: Integer scalar specifying the number of nearest neighbors to use for constructing the reference pseudo-cell.
- **trend.args**: A list containing named arguments to pass to `trendVar`.
- **approximate**: Logical scalar indicating whether an approximate PCA should be performed.
- **irlba.args**: List containing arguments to pass to `irlba` if `approximate=TRUE`.
- **subset.row**: See ?"scran-gene-selection".
- **min.mean**: A numeric scalar specifying the minimum (library size-adjusted) average count of genes to be used for normalization.
- **block**: An optional factor specifying blocking levels for all cells.
- **ref.block**: An integer or string specifying the level of `block` to use as the reference.
- **BNPARAM**: A BiocNeighborParam object specifying the algorithm to use for nearest neighbor detection.
- **BPPARAM**: A BiocParallelParam object specifying the parallelization to use.
- **...**: Additional arguments to pass to `simpleSumFactors,ANY-method`.
- **assay.type**: A string specifying which assay values to use, e.g., "counts" or "logcounts".
- **get.spikes**: See ?"scran-gene-selection".
- **sf.out**: A logical scalar indicating whether only size factors should be returned.

### Details

This function implements a greatly simplified counterpart to the `quickCluster` and `computeSumFactors` workflow for computing cell-specific size factors. Briefly, it:

1. Identifies the k nearest neighbors for each cell, based on the first few PCs from the log-transformed library size-normalized expression matrix. The number of PCs is determined running `trendVar` and `denoisePCA`.
2. Constructs a pseudo-cell from the neighbor set by taking the weighted average of the neighbors' expression profiles. Weights are computed using a tricube kernel on the distance, with bandwidth equal to three-fold the median distance. This protects against large distances for cell types with fewer than k cells in the data set.
3. Computes the ratio of the library size for each cell to the sum of averaged values for the associated pseudo-cell. This represents an initial size factor estimate, equivalent to library size normalization. The assumption is that neighboring cells are from the same cell type and do not exhibit composition biases associated with DE.
4. Performs median-based normalization on the neighbor-derived pseudo-cell for each cell to a reference pseudo-cell. This yields a rescaling factor that is multiplied by the initial ratio to obtain the cell-specific size factor. The use of the median protects against DE between pseudo-cells, while the use of a summed profile avoids problems with zeros.

The number of neighbors \( k \) represents the minimum number of cells of any cell type or state. Reducing \( k \) increases the robustness of the algorithm to population heterogeneity. However, it reduces the precision of the median-based estimator and increases the risk of obtaining zero or undefined size factors after rescaling. \( k \) should be set to the largest tolerable value for optimal performance, though the default is usually sufficient.

The reference pseudo-cell is constructed from the neighbors of the cell with the lowest distance to the \( \text{ref.k} \)th neighbor. This is a rough proxy for the neighbourhood with the highest density of cells, which should also have the most stable neighbor-derived pseudo-cell. By default, \( \text{ref.k} \) is equal to \( k \) but can be increased to provide a more stable reference pseudo-cell. (This is more specific than increasing \( k \), which would require stronger assumptions for all cells.)

If \( \text{block} \) is specified, the above process is performed separately for all cells within each level of \( \text{block} \). To calibrate the size factors across blocks, median-based normalization is applied to the block-specific reference pseudo-cells to obtain a calibration factor. The global reference pseudo-cell is specified with \( \text{ref.block} \) or, if \( \text{NULL} \), is defined as that which is closest to the “middle” of the data set. This refers to the median position on the first PC after performing a PCA on the log-transformed expression profiles of the block-specific reference pseudo-cells. The size factor for each cell in each block is then multiplied by the calibration factor for that block to obtain the final size factor for each cell.

If \( \text{min.mean} \) is positive, genes with library size-normalized averages below \( \text{min.mean} \) will be ignored during median-based normalization. Specifically, the threshold is applied to the grand average of the pooled profile for each cell and that of the reference cell. This favours moderate- and high-abundance genes for which median-based normalization is more accurate. Note that this filtering will only affect the calculation of scaling and calibration factors, and will not be used for nearest-neighbor detection.

**Value**

For \texttt{simpleSumFactors, ANY-method}, a numeric vector of size factors for all cells in \( x \) is returned. For \texttt{simpleSumFactors, SingleCellExperiment-method}, an object of class \( x \) is returned containing the vector of size factors in \texttt{sizeFactors(x)}, if \( \text{sf.out=FALSE} \). Otherwise, the vector of size factors is returned directly.

**Trouble-shooting errors and warnings**

“cleaning zero size factor estimates”: the rescaling factor is zero for some pseudo-cells. Increase \( k \) to reduce the number of stochastic zeroes in each pseudo-cell.

“infinite size factors”: the rescaling factor is \( \text{Inf} \) for some pseudo-cells. Increase \( \text{ref.k} \) to reduce the number of stochastic zeroes in the reference pseudo-cell.

“no genes remaining after filtering”: filtering has removed all genes for some pseudo-cells. Lower \( \text{min.mean} \) to retain more genes.

“calibration factors are not strictly positive”: some of the inter-block calibration factors are not positive. Increase \( \text{ref.k} \) to reduce the number of stochastic zeroes in each block-specific reference pseudo-cell.

**Author(s)**

Aaron Lun
Spike-in normalization

Normalization with spike-in counts

Description

Compute size factors based on the coverage of spike-in transcripts.

Usage

## S4 method for signature 'SingleCellExperiment'
computeSpikeFactors(x, type=NULL, assay.type="counts", sf.out=FALSE, general.use=TRUE)

Arguments

x A SingleCellExperiment object with rows corresponding spike-in transcripts.
type A character vector specifying which spike-in sets to use.
assay.type A string indicating which assay contains the counts.
sf.out A logical scalar indicating whether only size factors should be returned.
general.use A logical scalar indicating whether the size factors should be stored for general use by all genes.
Spike-in normalization

Details

The size factor for each cell is defined as the sum of all spike-in counts in each cell. This is equivalent to normalizing to equalize spike-in coverage between cells. Size factors are scaled so that the mean of all size factors is unity, for standardization purposes if one were to compare different sets of size factors.

Spike-in counts are assumed to be stored in the rows specified by isSpike(x). This specification should have been performed by supplying the names of the spike-in sets – see ?isSpike for more details. By default, if multiple spike-in sets are available, all of them will be used to compute the size factors. The function can be restricted to a subset of the spike-ins by specifying the names of the desired spike-in sets in type. An error will be raised if no spike-in rows are detected.

By default, the function will store several copies of the same size factors in the output object. One copy will also be stored in sizeFactors(x, type=s), where s is the name of each spike-in set in type. (If type=NULL, a copy is stored for every spike-in set, as all of them would be used to compute the size factors.) Separate storage allows spike-in-specific normalization in normalize. If general.use=TRUE, a copy will also be stored in sizeFactors(x) for normalization of all genes.

Value

If sf.out=TRUE, a numeric vector of size factors is returned directly.

Otherwise, an object of class x is returned, containing size factors for all cells. A copy of the vector is stored for each spike-in set that was used to compute the size factors. If general.use=TRUE, a copy is also stored for use by non-spike-in genes.

Author(s)

Aaron Lun

References


See Also

isSpike

Examples

# Mocking up some data.
sf.out=TRUE
ncells <- 200
nspikes <- 100
spike.means <- 2*runif(nspikes, 3, 8)
spike.disp <- 100/spike.means + 0.5
spike.data <- matrix(rnbinom(nspikes*ncells, mu=spike.means, size=1/spike.disp), ncol=ncells)
ngenes <- 2000
cell.means <- 2*runif(ngenes, 2, 10)
cell.disp <- 100/cell.means + 0.5
cell.data <- matrix(rnbinom(ngenes*ncells, mu=cell.means, size=1/cell.disp), ncol=ncells)
technicalCV2

Model the technical coefficient of variation

Description

Model the technical coefficient of variation as a function of the mean, and determine the significance of highly variable genes.

Usage

## S4 method for signature 'ANY'
technicalCV2(x, is.spike, sf.cell=NULL, sf.spike=NULL, cv2.limit=0.3, cv2.tol=0.8, min.bio.disp=0.25)

## S4 method for signature 'SingleCellExperiment'
technicalCV2(x, spike.type=NULL, ..., assay.type="counts")

Arguments

x
A numeric matrix of counts, where each column corresponds to a cell and each row corresponds to a spike-in transcript. Alternatively, a SingleCellExperiment object that contains such values.

is.spike
A vector indicating which rows of x correspond to spike-in transcripts.

sf.cell
A numeric vector containing size factors for endogenous genes.

sf.spike
A numeric vector containing size factors for spike-in transcripts.

cv2.limit, cv2.tol
Numeric scalars that determine the minimum mean abundance for the spike-in transcripts to be used for trend fitting.

min.bio.disp
A numeric scalar specifying the minimum biological dispersion.

spike.type
A character vector containing the names of the spike-in sets to use.

... Additional arguments to pass to technicalCV2,ANY-method.

assay.type
A string specifying which assay values to use.
Details

This function will estimate the squared coefficient of variation (CV2) and mean for each spike-in transcript. A mean-dependent trend is fitted to the CV2 values for the transcripts using a Gamma GLM with `glmGam.fit`. Only high-abundance transcripts are used for stable trend fitting. (Specifically, a mean threshold is selected by taking all transcripts with CV2 above `cv2.limit`, and taking the quantile of this subset at `cv2.tol`. A warning will be thrown and all spike-ins will be used if the subset is empty.)

The trend is used to determine the technical CV2 for each endogenous gene based on its mean. To identify highly variable genes, the null hypothesis is that the total CV2 for each gene is less than or equal to the technical CV2 plus `min.bio.disp`. Deviations from the null are identified using a chi-squared test. The additional `min.bio.disp` is necessary for a ratio-based test, as otherwise genes with large relative (but small absolute) CV2 would be favoured.

For `technicalCV2`, `ANY-method`, the rows corresponding to spike-in transcripts are specified with `is.spike`. These rows will be used for trend fitting, while all other rows are treated as endogenous genes. If either `sf.cell` or `sf.spike` are not specified, the `estimateSizeFactorsForMatrix` function is applied to compute size factors.

For `technicalCV2`, `SingleCellExperiment-method`, transcripts from spike-in sets named in `spike.type` will be used for trend fitting. If `spike.type=NULL`, all spike-in sets listed in `x` will be used. Size factors for the endogenous genes are automatically extracted via `sizeFactors`. Spike-in-specific size factors for `spike.type` are extracted from `x`, if available; otherwise they are set to the size factors for the endogenous genes. Note that the spike-in-specific factors must be the same for each set in `spike.type`.

Users can also set `is.spike` to `NA` in `technicalCV2`, `ANY-method`; or `spike.type` to `NA` in `technicalCV2`, `SingleCellExperiment-method`. In such cases, all rows will be used for trend fitting, and (adjusted) p-values will be reported for all rows. This should be used in cases where there are no spike-ins. Here, the assumption is that most endogenous genes do not exhibit high biological variability and thus can be used to model technical variation.

Value

A data frame is returned containing one row per row of `x` (including both endogenous genes and spike-in transcripts). Each row contains the following information:

- **mean**: A numeric field, containing mean (scaled) counts for all genes and transcripts.
- **var**: A numeric field, containing the variances for all genes and transcripts.
- **cv2**: A numeric field, containing CV2 values for all genes and transcripts.
- **trend**: A numeric field, containing the fitted value of the trend in the CV2 values. Note that the fitted value is reported for all genes and transcripts, but the trend is only fitted using the transcripts.
- **p.value**: A numeric field, containing p-values for all endogenous genes (NA for rows corresponding to spike-in transcripts).
- **FDR**: A numeric field, containing adjusted p-values for all genes.

Author(s)

Aaron Lun, based on code from Brennecke et al. (2013)

References

testVar

Test for significantly large variances

Description

Test for whether the total variance exceeds that expected under some null hypothesis, for sample variances estimated from normally distributed observations.

Usage

testVar(total, null, df, design=NULL, test=c("chisq", "f"), second.df=NULL, log.p=FALSE)

Arguments

total A numeric vector of total variances for all genes.
null A numeric scalar or vector of expected variances under the null hypothesis for all genes.

Examples

# Mocking up some data.
ngenes <- 10000
means <- 2^runif(ngenes, 6, 10)
dispersions <- 10/means + 0.2
nsamples <- 50
counts <- matrix(rnbinom(ngenes*nsamples, mu=means, size=1/dispersions), ncol=nsamples)
is.spike <- logical(ngenes)
is.spike[seq_len(500)] <- TRUE

# Running it directly on the counts.
out <- technicalCV2(counts, is.spike)
head(out)
plot(out$mean, out$cv2, log="xy")
points(out$mean, out$trend, col="red", pch=16, cex=0.5)

# Same again with a SingleCellExperiment.
rownames(counts) <- paste0("X", seq_len(ngenes))
colnames(counts) <- paste0("Y", seq_len(nsamples))
X <- SingleCellExperiment(list(counts=counts))
isSpike(X, "Spikes") <- is.spike

# Dummying up some size factors (for convenience only, use computeSumFactors() instead).
sizeFactors(X) <- 1
X <- computeSpikeFactors(X, general.use=FALSE)

# Running it.
out <- technicalCV2(X, spike.type="Spikes")
head(out)
testVar

A design matrix, used to determine the degrees of freedom if df is missing.

A string specifying the type of test to perform.

A numeric scalar specifying the second degrees of freedom for the F-distribution when test="f".

A logical scalar indicating whether log-transformed p-values should be returned.

Details

The null hypothesis is that the true variance for each gene is equal to null. (Technically, it is that the variance is equal to or less than this value, but the most conservative test is obtained at equality.) If test="chisq", variance estimates are assumed to follow a chi-squared distribution on df degrees of freedom and scaled by null/df. This is used to compute a p-value for total being greater than null. The underlying assumption is that the observations are normally distributed under the null, which is reasonable for log-counts with low-to-moderate dispersions.

The aim is to use this function to identify significantly highly variable genes (HVGs). For example, the null vector can be set to the values of the trend fitted to the spike-in variances. This will identify genes with variances significantly greater than technical noise. Alternatively, it can be set to the trend fitted to the cellular variances, which will identify those that are significantly more variable than the bulk of genes. Selecting HVGs on p-values is better than using total - null, as the latter is less precise when null is large.

If test="f", the true variance of each spike-in transcript is assumed to be sampled from a scaled inverse chi-squared distribution. This accounts for any inflated scatter around the trend due to differences in amplification efficiency between transcripts. As a result, the gene-wise variance estimates are should be F-distributed around the trend under the null. The second degrees of freedom is estimated from the scatter around the trend in trendVar using fitFDistRobustly, and needs to be supplied to second.df to calculate an appropriate p-value.

Value

A numeric vector of p-values for all genes.

Author(s)

Aaron Lun

References


See Also
trendVar, decomposeVar, fitFDistRobustly

Examples

```
set.seed(100)
null <- 100/runif(1000, 50, 2000)
df <- 30
total <- null * rchisq(length(null), df=df)/df

# Direct test:
out <- testVar(total, null, df=df)
```
```r
hist(out)

# Rejecting the null:
alt <- null * 5 * rchisq(length(null), df=df)/df
out <- testVar(alt, null, df=df)
plot(alt[order(out)]-null)

# Focusing on genes that have high absolute increases in variability:
out <- testVar(alt, null+0.5, df=df)
plot(alt[order(out)]-null)
```

---

**trendVar**

*Fit a variance trend*

**Description**

Fit a mean-dependent trend to the gene-specific variances in single-cell RNA-seq data.

**Usage**

```r
## S4 method for signature 'ANY'
trendVar(x, method=c("loess", "spline"), parametric=FALSE,
    loess.args=list(), spline.args=list(), nls.args=list(), block=NULL,
    design=NULL, weighted=TRUE, min.mean=0.1, subset.row=NULL,
    BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
trendVar(x, subset.row=NULL, ..., assay.type="logcounts", use.spikes=TRUE)
```

**Arguments**

- `x` A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to a spike-in transcript. Alternatively, a SingleCellExperiment object that contains such values.
- `method` A string specifying the algorithm to use for smooth trend fitting.
- `parametric` A logical scalar indicating whether a parametric curve should be fitted prior to smoothing.
- `loess.args` A named list of arguments to pass to `loess` when `method="loess"`.
- `spline.args` A named list of arguments to pass to `robustSmoothSpline` when `method="spline"`.
- `nls.args` A named list of arguments to pass to `nls` when `parametric=TRUE`.
- `block` A factor specifying the blocking level for each cell.
- `design` A numeric matrix describing the uninteresting factors contributing to expression in each cell. Alternatively, a single factor can be supplied for one-way layouts.
- `weighted` A logical scalar indicated whether weighted trend fitting should be performed when `block!=NULL`.
- `min.mean` A numeric scalar specifying the minimum mean log-expression in order for a gene to be used for trend fitting.

---

**Description**

Fit a variance trend to the gene-specific variances in single-cell RNA-seq data.

**Usage**

```r
## S4 method for signature 'ANY'
trendVar(x, method=c("loess", "spline"), parametric=FALSE,
    loess.args=list(), spline.args=list(), nls.args=list(), block=NULL,
    design=NULL, weighted=TRUE, min.mean=0.1, subset.row=NULL,
    BPPARAM=SerialParam())

## S4 method for signature 'SingleCellExperiment'
trendVar(x, subset.row=NULL, ..., assay.type="logcounts", use.spikes=TRUE)
```

**Arguments**

- `x` A numeric matrix-like object of normalized log-expression values, where each column corresponds to a cell and each row corresponds to a spike-in transcript. Alternatively, a SingleCellExperiment object that contains such values.
- `method` A string specifying the algorithm to use for smooth trend fitting.
- `parametric` A logical scalar indicating whether a parametric curve should be fitted prior to smoothing.
- `loess.args` A named list of arguments to pass to `loess` when `method="loess"`.
- `spline.args` A named list of arguments to pass to `robustSmoothSpline` when `method="spline"`.
- `nls.args` A named list of arguments to pass to `nls` when `parametric=TRUE`.
- `block` A factor specifying the blocking level for each cell.
- `design` A numeric matrix describing the uninteresting factors contributing to expression in each cell. Alternatively, a single factor can be supplied for one-way layouts.
- `weighted` A logical scalar indicated whether weighted trend fitting should be performed when `block!=NULL`.
- `min.mean` A numeric scalar specifying the minimum mean log-expression in order for a gene to be used for trend fitting.
BPPARAM A BiocParallelParam object indicating whether and how parallelization should be performed across genes.

Additional arguments to pass to `trendVar`, `ANY-method`.

assay.type A string specifying which assay values in `x` to use.

use.spikes A logical scalar specifying whether the trend should be fitted to variances for spike-in transcripts or endogenous genes.

Details

This function fits an abundance-dependent trend to the variance of the log-normalized expression for the spike-in transcripts. For SingleCellExperiment objects, these expression values are computed by `normalize` after setting the size factors, e.g., with `computeSpikeFactors`. Log-transformed values are used as these are more robust to genes/transcripts with strong expression in only one or two outlier cells. It also allows the fitted trend to be applied in downstream procedures that use log-transformed counts.

The mean and variance of the normalized log-counts is calculated for each spike-in transcript, and a trend is fitted to the variance against the mean for all transcripts. The fitted value of this trend represents technical variability due to sequencing, drop-outs during capture, etc. at a given mean. This assumes that a constant amount of spike-in RNA was added to each cell, such that any differences in observed expression are purely due to measurement error. Variance decomposition to biological and technical components for endogenous genes can then be performed later with `decomposeVar`.

Value

A named list is returned, containing:

- `mean`: A numeric vector of mean log-expression values for all spike-in transcripts, if `block=NULL`. Otherwise, a numeric matrix of means where each row corresponds to a spike-in and each column corresponds to a level of `block`.

- `var`: A numeric vector of the variances of log-expression values for all spike-in transcripts, if `block=NULL`. Otherwise, a numeric matrix of variances where each row corresponds to a spike-in and each column corresponds to a level of `block`.

- `resid.df`: An integer scalar specifying the residual d.f. used for variance estimation of each spike-in transcript, if `block=NULL`. Otherwise, a integer vector where each entry specifies the residual d.f. used in each level of `block`.

- `block`: A factor identical to the input `block`, only returned if it was not `NULL`.

- `design`: A numeric matrix (or factor) identical to the input `design`, only returned if it was not `NULL` and `block=NULL`.

- `trend`: A function that returns the fitted value of the trend at any mean.

- `df2`: A numeric scalar, specifying the second degrees of freedom for a scaled F-distribution describing the variability of variance estimates around the trend.

Trend fitting options

If `parametric=FALSE`, smoothing is performed directly on the log-variances. This is the default as it provides the most stable performance on arbitrary mean-variance relationships.

If `parametric=TRUE`, a non-linear curve of the form

\[ y = \frac{ax}{x^n + b} \]
is fitted to the variances against the means using \texttt{nls}. Starting values and the number of iterations are automatically set if not explicitly specified in \texttt{nls.args}. A smoothing algorithm is then applied to the log-ratios of the variance to the fitted value for each gene. The aim is to use the parametric curve to reduce the sharpness of the expected mean-variance relationship for easier smoothing. Conversely, the parametric form is not exact, so the smoothers will model any remaining trends in the residuals.

The \texttt{method} argument specifies the smoothing algorithm to be applied on the log-ratios/variances. By default, a robust loess curve is used for trend fitting via \texttt{loess}. This provides a fairly flexible fit while protecting against genes with very large or very small variances. Arguments to \texttt{loess} are specified with \texttt{loess.args}, with defaults of span=0.3, family="symmetric" and degree=1 unless otherwise specified. Some experimentation with these parameters may be required to obtain satisfactory results.

If \texttt{method="spline"}, smoothing will instead be performed using the \texttt{smooth.spline} function Arguments are specified with \texttt{spline.args}, with a default degrees of freedom of \texttt{df}=4 unless otherwise specified. Splines can be more effective than loess at capturing smooth curves with strong non-linear gradients.

The \texttt{trendVar} function will produce an output \texttt{trend} function with which fitted values can be computed. When extrapolating to values below the smallest observed mean, the output function will approach zero. When extrapolating to values above the largest observed mean, the output function will be set to the fitted value of the trend at the largest mean.

**Handling uninteresting factors of variation**

There are three approaches to handling unwanted factors of variation. The simplest approach is to use a design matrix containing the uninteresting factors can be specified in \texttt{design}. This will fit a linear model to the log-expression values for each gene, yielding an estimate for the residual variance. The trend is then fitted to the residual variance against the mean for each spike-in transcripts.

Another approach is to use \texttt{block}, where all cells in each level of the blocking factor are treated as a separate group. Means and variances are estimated within each group and the resulting sets of means/variances are pooled across all groups. The trend is then fitted to the pooled observations, where observations from different levels are weighted according to the residual d.f. used for variance estimation. This effectively multiplies the number of points by the number of levels in \texttt{block}. If both \texttt{block} and \texttt{design} are specified, \texttt{block} will take priority and \texttt{design} will be ignored.

The final approach is to subset the data set for each level of the blocking factor and model the variances within each block separately. This is done using the \texttt{multiBlockVar} function, see its documentation for more details. Separate modelling and trend fitting is the most correct approach if there are systematic differences in the size factors (spike-in or endogenous) between levels. With the other two methods, such differences would be normalized out in the full log-expression matrix, preventing proper estimation of the level-specific abundance.

Assuming there are no differences in the size factors between levels, we suggest using \texttt{block} whenever possible instead of \texttt{design}. This is because the use of \texttt{block} preserves differences in the means/variances between levels of the factor. In contrast, using \texttt{design} will effectively compute an average mean/variance. This may yield an inaccurate representation of the trend, as the fitted value at an average mean may not be equal to the average variance for non-linear trends. Nonetheless, we still support \texttt{design} as it can accommodate additive models, whereas \texttt{block} only handles one-way layouts.
Additional notes on row selection

The selection of spike-in transcripts can be adjusted in `trendVar,SingleCellExperiment-method` using the `use.spikes` method.

- By default, `use.spikes=TRUE` which means that only rows labelled as spike-ins with `isSpike(x)` will be used. An error will be raised if no rows are labelled as spike-in transcripts.
- If `use.spikes=FALSE`, only the rows not labelled as spike-in transcripts will be used.
- If `use.spikes=NA`, every row will be used for trend fitting, regardless of whether it corresponds to a spike-in transcript or not.

If `use.spikes=FALSE`, this implies that `trendVar` will be applied to the endogenous genes in the `SingleCellExperiment` object. For `trendVar,ANY-method`, it is equivalent to manually supplying a matrix of normalized expression for endogenous genes. This assumes that most genes exhibit technical variation and little biological variation, e.g., in a homogeneous population.

Low-abundance genes with mean log-expression below `min.mean` are not used in trend fitting, to preserve the sensitivity of span-based smoothers at moderate-to-high abundances. It also protects against discreteness, which can interfere with estimation of the variability of the variance estimates and accurate scaling of the trend. The default threshold is chosen based on the point at which discreteness is observed in variance estimates from Poisson-distributed counts. For heterogeneous droplet data, a lower threshold of 0.001-0.01 should be used.

Users can also directly specify which rows to use with `subset.row`. All of these parameters - including `min.mean` and `use.spikes` - interact with each other sensibly, by taking the intersection of rows that fulfill all criteria. For example, if `subset.row` is specified and `use.spikes=TRUE`, rows are only used if they are both spike-in transcripts and in `subset.row`. Otherwise, if `use.spikes=FALSE`, only rows in `subset.row` that are not spike-in transcripts are used.

Note that features are not used under any circumstance if they have a variance of zero. These are not compatible with trend fitting on the log-scale. In practice, it should be effectively impossible to obtain variances of zero for any expressed gene, though some care may be required with simulated data sets. Any NA variances are similarly ignored.

Note that, depending on the output of the various row selection parameters, the number of used rows may be much less than the number of rows of `x`. In pathological cases, this may result in an error stating that insufficient points are available for the trend points.

Warning on size factor centring

If `assay.type="logcounts"`, `trendVar,SingleCellExperiment-method` will attempt to determine if the expression values were computed from counts via `normalize`. If so, a warning will be issued if the size factors are not centred at unity. This is because different size factors are typically used for endogenous genes and spike-in transcripts. If these size factor sets are not centred at the same value, there will be systematic differences in abundance between these features. This precludes the use of a spike-in fitted trend with abundances for endogenous genes in `decomposeVar`.

For other expression values and in `trendVar,ANY-method`, the onus is on the user to ensure that normalization (i) does not introduce differences in abundance between spike-in and endogenous features, while (ii) preserving differences in abundance within the set of endogenous or spike-in features. In short, the scaling factors used to normalize each feature should have the same mean across all cells. This ensures that spurious differences in abundance are not introduced by the normalization process.

Author(s)

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References


See Also

`nls`, `loess`, `decomposeVar`, `computeSpikeFactors`, `computeSumFactors`, `normalize`

Examples

```r
example(computeSpikeFactors)  # Using the mocked-up data 'y' from this example.

# Normalizing (gene-based factors for genes, spike-in factors for spike-ins)
y <- computeSumFactors(y)
y <- computeSpikeFactors(y, general.use=FALSE)
y <- normalize(y)

# Fitting a trend to the spike-ins.
fit <- trendVar(y)
plot(fit$mean, fit$var)
curve(fit$trend(x), col="red", lwd=2, add=TRUE)

# Fitting a trend to the endogenous genes.
fit.g <- trendVar(y, use.spikes=FALSE)
plot(fit.g$mean, fit.g$var)
curve(fit.g$trend(x), col="red", lwd=2, add=TRUE)
```
Index

*Topic clustering
  cyclone, 21
  sandbag, 68

*Topic correlation
  correlatePairs, 15

*Topic normalization
  correlatePairs, 15
  cosineNorm, 20
  Deconvolution Methods, 26
  multiBatchNorm, 52
  multiBlockNorm, 55
  Quick clustering, 66
  simpleSumFactors, 71
  Spike-in normalization, 74

*Topic variance
  correlatePairs, 15
  decomposeVar, 23
  Distance-to-median, 33
  improvedCV2, 44
  multiBlockVar, 56
  technicalCV2, 76
  testVar, 78
  trendVar, 80

*Topic correlaePairs, 15
  BiocNeighborParam, 3, 35, 40, 72
  BiocParallelParam, 3, 35, 40, 72
  bpparam, 19
  build*NNGraph, 2
  buildKNNGraph (build*NNGraph), 2
  buildKNNGraph, ANY-method (build*NNGraph), 2
  buildKNNGraph, SingleCellExperiment-method (build*NNGraph), 2
  buildSNNGraph, 7, 66–68
  buildSNNGraph (build*NNGraph), 2
  buildSNNGraph, ANY-method (build*NNGraph), 2
  buildSNNGraph, SingleCellExperiment-method (build*NNGraph), 2

  calcAverage, 29, 67
  cleanSizeFactors, 5, 29
  cluster_fast_greedy, 67
  cluster_louvain, 5
  cluster_walktrap, 5
  clusterModularity, 7
  combineMarkers, 8, 43, 58, 59, 62
  combinePValues, 11, 12, 13
  combineVar, 12, 30, 32, 56, 57
  computeSpikeFactors, 29, 81, 84
  computeSpikeFactors (Spike-in normalization), 74
  computeSpikeFactors, SingleCellExperiment-method (Spike-in normalization), 74
  computeSumFactors, 6, 32, 67, 68, 72, 74, 84
  computeSumFactors (Deconvolution Methods), 26
  computeSumFactors, ANY-method (Deconvolution Methods), 26
  computeSumFactors, SingleCellExperiment-method (Deconvolution Methods), 26
  convertTo, 14
  convertTo, SingleCellExperiment-method (convertTo), 14
  cor, 19
  correlateNull (correlatePairs), 15
  correlatePairs, 15
  correlatePairs, ANY-method (correlatePairs), 15
  correlatePairs, SingleCellExperiment-method (correlatePairs), 15
  cosineNorm, 20, 41, 51
  cutreeDynamic, 66–68
  cyclone, 21, 69, 70
  cyclone, ANY-method (cyclone), 21
  cyclone, SingleCellExperiment-method (cyclone), 21
  DataFrame, 8, 9, 43, 58, 60, 63
  decomposeVar, 12, 13, 23, 30–32, 56, 57, 79, 81, 83, 84
  decomposeVar, ANY-list-method (decomposeVar), 23
  decomposeVar, SingleCellExperiment-list-method (decomposeVar), 23
  Deconvolution Methods, 26
  Denoise with PCA, 30
  denoisePCA, 40, 65, 72
INDEX

denoisePCA (Denoise with PCA), 30
denoisePCA, ANY-method (Denoise with PCA), 30
denoisePCA, SingleCellExperiment-method (Denoise with PCA), 30
DESeqDataSetFromMatrix, 15
DGEList, 15
Distance-to-median, 33
DM (Distance-to-median), 33
doubletCells, 34
doubletCells, ANY-method (doubletCells), 34
doubletCells, SingleCellExperiment-method (doubletCells), 34
doubletCluster, 36
doubletCluster, ANY-method (doubletCluster), 36
doubletCluster, SingleCellExperiment-method (doubletCluster), 36
estimateSizeFactorsForMatrix, 77, 78
fastMNN, 20, 39, 52–54
findKNN, 3–5, 35, 40
findMarkers, 10, 37, 42, 58
findMarkers, ANY-method (findMarkers), 42
findMarkers, SingleCellExperiment-method (findMarkers), 42
fitFDistRobustly, 79
Gene selection, 44
glmgam.fit, 77, 78
improvedCV2, 44
improvedCV2, ANY-method (improvedCV2), 44
improvedCV2, SingleCellExperiment-method (improvedCV2), 44
irlba, 31, 35, 42, 49, 51, 53, 64, 72
isSpike, 44, 75
librarySizeFactors, 37
List, 9, 54
loess, 80, 82, 84
make_graph, 5
makeTechTrend, 47
mnnCorrect, 20, 32, 40, 42, 49, 52, 53
modularity, 7
multiBatchNorm, 40, 42, 52
multiBatchPCA, 39–41, 53
multiBlockNorm, 55, 56, 57
multiBlockVar, 25, 55, 56, 82
newCellDataSet, 15
nls, 6, 80, 82, 84
nls.control, 6
normalize, 37, 48, 50, 52, 53, 55, 75, 81, 83, 84
ns, 46
overlapExprs, 10, 43, 57
overlapExprs, ANY-method (overlapExprs), 57
overlapExprs, SingleCellExperiment-method (overlapExprs), 57
pairwiseTTests, 9, 10, 43, 58, 59, 62
pairwiseWilcox, 10, 58, 62
Parallel analysis, 64
parallelPCA (Parallel analysis), 64
parallelPCA, ANY-method (Parallel analysis), 64
parallelPCA, SingleCellExperiment-method (Parallel analysis), 64
plotPCA, 31
prcomp_irlba, 3, 4
queryKNN, 51
Quick clustering, 66
quickCluster, 5, 28, 30, 71, 72, 74
quickCluster (Quick clustering), 66
quickCluster, ANY-method (Quick clustering), 66
quickCluster, SingleCellExperiment-method (Quick clustering), 66
removeBatchEffect, 32
Rle, 40, 44
robustSmoothSpline, 45, 80
runmed, 53
sandbag, 21, 22, 68
sandbag, ANY-method (sandbag), 68
sandbag, SingleCellExperiment-method (sandbag), 68
Scaled column ranks, 70
scaledColRanks, 66
scaledColRanks (Scaled column ranks), 70
scran-gene-selection (Gene selection), 44
set.seed, 4
SimpleList, 38
simpleSumFactors, 71
simpleSumFactors, ANY-method (simpleSumFactors), 71
simpleSumFactors, SingleCellExperiment-method (simpleSumFactors), 71
INDEX

SingleCellExperiment, 39, 44
sizeFactors, 46, 77
smooth.spline, 45, 82
Spike-in normalization, 74
svd, 51

technicalCV2, 44, 46, 76
technicalCV2, ANY-method (technicalCV2), 76
technicalCV2, SingleCellExperiment-method (technicalCV2), 76
testVar, 23–25, 78
treat, 61
trendVar, 23–25, 30–32, 44, 48, 56, 57, 72, 79, 80
trendVar, ANY-method (trendVar), 80
trendVar, SingleCellExperiment-method (trendVar), 80

wilcox.test, 63